ESTTA Tracking number:

ESTTA477650 06/12/2012

Filing date:

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

Proceeding	91205023	
Party	Defendant San Pasqual Casino Development Group Inc	
Correspondence Address	HILLARY A. BROOKS MARGER, JOHNSON & MCCOLLOM, P.C. 210 SW MORRISON ST STE 400 PORTLAND, OR 97204-3189 docketing@techlaw.com	
Submission	Motion to Dismiss - Rule 12(b)	
Filer's Name	Hillary A. Brooks	
Filer's e-mail	hillary.brooks@techlaw.com, lisa.riley@techlaw.com, litigationdocketing@techlaw.com	
Signature	/Hillary A. Brooks/	
Date	06/12/2012	
Attachments	Motion to Dismiss_FINAL.PDF ( 20 pages )(1816445 bytes ) Exhibit.A_2_Pico.Dec.ISO.Motion.Dismiss.pdf ( 3 pages )(88321 bytes ) Exhibit.B_2_Complaint.pdf ( 36 pages )(129722 bytes ) Exhibit.C_2_Memo.Points.Authorities.ISO.Motion.Dismiss.pdf ( 17 pages )(138504 bytes ) Exhibit.D_2_Manfredi.Dec.ISO.Motion.Stay.Pl.pdf ( 2 pages )(51397 bytes ) Exhibit.E_2_Notice.Voluntary.Dismssal.pdf ( 4 pages )(333179 bytes )	

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

VIEJAS BAND OF KUMEYAAY INDIANS,	)
Opposer	) Opposition No. 91205023 )
v.	)
SAN PASQUAL CASINO DEVELOPMENT GROUP, INC.,	) ) )
Applicant.	)

#### **APPLICANT'S MOTION TO DISMISS**

Pursuant to Fed. R. Civ. P. 12(b)(1) and 12(b)(6) and 37 CFR § 2.116, Applicant San Pasqual Casino Development Group, Inc. ("SPCDG") hereby moves to dismiss the Notices of Opposition ("Opposition") filed by Opposer Viejas Band of Kumeyaay Indians ("Viejas") for the following reasons:

- 1. SPCDG is an arm of a federally recognized Indian tribe and accordingly has tribal sovereign immunity such that the Board lacks subject matter jurisdiction to hear the instant Opposition;
- 2. Viejas has failed to adequately plead sufficient facts to allege standing to maintain the instant Opposition; and
- 3. Viejas has failed to adequately plead sufficient facts to set forth a ground for denying SPCDG's registrations for the PINCH YOURSELF mark.

#### **FACTS**

Federal law recognizes Indian tribes as distinct, sovereign governments immune from suit under the doctrine of tribal sovereign immunity. The doctrine is based on a policy of protecting tribal sovereignty and promoting tribal self-governance. Tribal sovereign immunity applies as long as it has not been unequivocally waived by the tribe or abrogated by Congress.

San Pasqual Casino Development Group ("SPCDG") is a wholly-owned, tribally chartered corporation formed under the laws of the San Pasqual Band of Mission Indians, a federally recognized Indian tribe. SPCDG is exclusively responsible for the operation, management, and development of Valley View Casino, a San Diego-area casino. The San Pasqual Band of Mission Indians owns Valley View Casino. SPCDG is an authorized agency of the San Pasqual Band of Mission Indians and is an arm of the tribe. SPCDG has invested significant capital and time in Valley View Casino, including through the creation, protection, and enforcement of intellectual property such as the PINCH YOURSELF mark.

The Viejas Band of Kumeyaay Indians ("Viejas") owns, operates, directs, controls, and/or is involved in the day-to-day operations of a competing casino, Viejas Casino. (*See* Pico Decl. in Supp. of Mot. to Dismiss Filed by Specially-Appearing D.s, Viejas Band of Kumeyaay Indians & Its Officers ¶ 4, *San Pasqual Casino Dev. Group, Inc. v. Viejas Band of Kumeyaay Indians et al.*, No. 11CV1983 JAH POR (S.D. Cal. Nov. 7, 2011) ("Exhibit A").) Beginning in early 2011, Viejas engaged in a concerted effort to misappropriate SPCDG's intellectual property and trade on SPCDG's goodwill, including through the use of the PINCH YOURSELF mark.

On August 29, 2011, SPCDG filed a complaint in the Southern District of California

against Viejas, alleging, among other things, infringement of the PINCH YOURSELF mark. (See Compl. for Trademark Infringement, Copyright Infringement, & Unfair Competition, San Pasqual Casino Dev. Group Inc. v. Viejas Band of Kumeyaay Indians, No. '11CV1983 JAH POR (S.D. Cal. Aug. 29, 2011) ("Exhibit B").) Viejas resisted, filing a motion to dismiss alleging that the court lacked subject matter jurisdiction to resolve disputes between different Indian nations on the basis of tribal sovereign immunity. (See Memo. of P. & A. in Supp. of Mot. to Dismiss Filed by Specially-Appearing Defs., Viejas Band of Kumeyaay Indians & Its Officers, San Pasqual Casino Dev. Group, Inc. v. Viejas Band of Kumeyaay Indians et al., No. 11CV1983 JAH POR (S.D. Cal. Nov. 7, 2011) ("Exhibit C").) Viejas also filed a Declaration by the Vice President of Marketing for Viejas Casino, Vince Manfredi, claiming that it was no longer using the PINCH YOURSELF mark. (See Manfredi Decl. in Supp. of Def.'s Ex Parte Application for Order Staying or Continuing the Hr'g on Pl.'s Mot. for Prelim. Inj. Pending Determination of Subject Matter Jurisdiction, San Pasqual Casino Dev. Group, Inc. v. Viejas Band of Kumeyaay Indians, No. 11CV1983 JAH POR (S.D. Cal. Nov. 8, 2011) ("Exhibit D").) In view of the Manfredi Declaration indicating cessation of use of the PINCH YOURSELF mark and the other intellectual property involved in the lawsuit, SPCDG dismissed the suit without prejudice on December 14, 2011. (Notice of Voluntary Dismissal Pursuant to Fed. R. Civ. P. 41, San Pasqual Casino Dev. Group, Inc. v. Viejas Band of Kumeyaay Indians et al., No. 11CV1983 JAH POR (S.D. Cal. Dec. 14, 2011) ("Exhibit E").)

Now, after asserting sovereign immunity against SPCDG and claiming to no longer use the PINCH YOURSELF mark, Viejas filed the present Opposition against SPCDG, a tribal arm, claiming that Viejas will be damaged if the PINCH YOURSELF mark is allowed to register. However, Viejas has failed allege any damage recognized by the Trademark Act, has failed to state a claim, and, more importantly, has chosen to wholly ignore SPCDG's *own* sovereignty. Accordingly, this Motion to Dismiss follows.

#### ARGUMENT

- I. Viejas' Opposition Should be Dismissed for a Lack of Subject Matter Jurisdiction on the Basis of Tribal Sovereign Immunity
  - a. Motions to Dismiss for Lack of Subject Matter Jurisdiction

"A motion to dismiss for lack of subject matter jurisdiction may either attack the allegations of the complaint or may be made as a 'speaking motion' attacking the existence of subject matter jurisdiction in fact." *Thornhill Publ'g Co. v. Gen. Tel. & Elec.*, 594 F.2d 730, 733 (9th Cir. 1979). "Unlike a Rule 12(b)(6) motion, a Rule 12(b)(1) motion can attack the substance of a complaint's jurisdictional allegations despite their formal sufficiency, and in doing so rely on affidavits or any other evidence properly before the court." *St. Clair v. City of Chico*, 880 F.2d 199, 201 (9th Cir. 1989). The existence of disputed material facts does not prevent the Board from evaluating the merits of the jurisdictional claims. *See id.* 

Where a Rule 12(b)(1) motion is brought as a "speaking motion," the Board can consider extrinsic evidence on whether jurisdiction exists and may resolve factual disputes if necessary. *See Thornhill*, 594 F.2d at 733. No presumption of truthfulness attaches to allegations in the opposition and the Board must presume it lacks jurisdiction until the opponent meets its burden of showing jurisdiction exists. *See Kokkonen v. Guardian Life Ins. Co. of Am.*, 511 U.S. 375, 377 (1994); *Stock W., Inc. v. Confederated Tribes*, 873 F.2d 1221, 1225 (9th Cir. 1989).

Objections to subject matter jurisdiction based on sovereign immunity can be asserted at any time, and may be asserted *sua sponte* by the Board. *See Pitt River Home & Agric. Coop.* 

# b. Tribal Sovereign Immunity Bars Administrative Proceedings Against Unconsenting Tribes and Tribal Arms

Tribal sovereign immunity bars suit against tribes and their arms, which include casinos. See, e.g., Allen v. Gold Country Casino, 464 F.3d 1044, 1046 (9th Cir. 2006). Where tribal sovereign immunity exists, it must either be waived or abrogated for a suit to proceed. E.g., Okla. Tax Comm'n v. Citizen Band Potawatomi Indian Tribe of Okla. (Potawatomi), 498 U.S. 505, 509 (1991). "There is a strong presumption against waiver of tribal sovereign immunity." Demontiney v. U.S. ex rel. Dep't of Interior, Bureau of Indian Affairs, 255 F.3d 801, 811 (9th Cir. 2001). Any waiver of sovereign immunity by a tribe must be unequivocally expressed, not implied. Kescoli v. Babbitt, 101 F.3d 1304, 1310 (9th Cir. 1996); Quileute Indian Tribe v. Babbitt, 18 F.3d 1456, 1459 (9th Cir. 1994). Further, any congressional abrogation of sovereign immunity must be "unequivocally expressed" in "explicit legislation," not implied. Krystal Energy Co. v. Navajo Nation, 357 F.3d 1055, 1056 (9th Cir. 2003).

With respect to the Lanham Act, Congress has not abrogated tribal sovereign immunity. See, e.g., Multimedia Games, Inc. v. WLGC Acquisition Corp., 214 F. Supp. 2d 1131, 1135 (N.D. Okla. 2001). Moreover, participation in the federal trademark system alone does not waive sovereign immunity. Cf. Bd. of Regents of the Univ. of Wisc. Sys. v. Phoenix Software Int'l, Inc., 565 F. Supp. 2d 1007, 1013-14 (W.D. Wisc. 2008), rev'd on other grounds, 653 F.3d 448 (7th Cir. 2011).

Although not coextensive with state sovereign immunity, see, e.g., Kiowa Tribe of Oklahoma v. Manufacturing Technologies Inc., 523 U.S. 751 (1998), tribal sovereign immunity bears a number of similarities to state sovereign immunity. For example, "[t]he preeminent

purpose of state sovereign immunity is to accord States the dignity that is consistent with their status as sovereign entities." *Fed. Mar. Comm'n v. S. Carol. State Ports Auth.*, 535 U.S. 743, 760 (2002). Similarly, the purpose of tribal sovereign immunity is to promote Indian self-government, self-sufficiency, and economic development. *Potowatomi*, 498 U.S. at 510 (quoting *California v. Cabazon Band of Mission Indians*, 480 U.S. 202, 216 (1987)).

Given the similarities between state and tribal sovereign immunity, *Federal Maritime Commission* is instructive. There, the Supreme Court held that state sovereign immunity barred the Federal Maritime Commission (FMC), an administrative agency, from adjudicating complaints filed by a private party against a non-consenting state. *Fed. Mar. Comm'n*, 535 U.S. at 760.

In so holding, the Court examined FMC adjudications and found that they "walk[], talk[], and squawk[]" like a lawsuit such that application of sovereign immunity was appropriate. See Fed. Mar. Comm'n, 535 U.S. at 757-58. In particular, the rules governing pleadings in both types of proceedings were similar; discovery largely mirrored that in federal civil litigation; the ALJ's role was similar to an Article III judge; and the FMC's Rules of Practice and Procedure looked to the Federal Rules of Civil Procedure to fill in any gaps. Id. at 757-60. These "strong similarities," along with state sovereign immunity's purpose of according states the dignity consistent with their status as sovereign entities, led to the conclusion that the FMC was barred from adjudicating the complaint. Id. at 760.

Like an FMC adjudication, an opposition before the TTAB "walks, talks, and squawks" like a lawsuit, making an extension of sovereign immunity appropriate. *See* TBMP 102.03 ("An inter partes proceeding before the Board is similar to a civil action in federal district court.").

The TBMP and controlling CFRs sets forth similar rules for pleadings as those found under the FRCPs. *See*, *e.g.*, TBMP 309.03; 37 CFR § 2.101(b). Discovery in an opposition bears many similarities to discovery in federal civil litigation, including the rules for initial disclosures and the rules governing depositions. *See* TBMP 401.02; TBMP 404.02; *see also* 37 C.F.R. § 2.120(a)(1) ("Wherever appropriate, the provisions of the Federal Rules of Civil Procedure relating to disclosure and discovery shall apply in opposition . . . proceedings . . . ."). The Board's ALJs, after reviewing the parties' arguments, make determinations based in law as to whether a mark will be permitted to register and issue orders setting forth those determinations, similar to functions performed by an Article III judge. Further, the TBMP expressly states that the Federal Rules of Civil Procedure and the Federal Rules of Evidence apply to opposition proceedings. *See* TBMP 101.02.

These similarities, along with the purposes of affording autonomy to Indian tribes and their arms, indicate that under the rationale of *Federal Maritime Commission*, the Board is barred from adjudicating Viejas's Opposition. *Cf. Bd. of Regents of Univ. of Wisc. Sys. v. Phoenix Intern. Software, Inc.*, 653 F.3d 448, 464 (7th Cir. 2011) (stating that under *Federal Maritime Commission*, the State of Wisconsin could have "refused to participate" in a TTAB cancellation proceeding filed against the State); *Puerto Rico Ports Auth. v. Fed. Mar. Comm'n*, 531 F.3d 868 (D.D.C. 2008) (applying *Federal Maritime Commission* to find the FMC barred from adjudicating a complaint filed against an arm of Puerto Rico, a commonwealth entitled to sovereign immunity).

Moreover, "[a]n opposition is a proceeding in which the plaintiff seeks to prevent issuance of a registration, in whole or in part, of a mark on the Principal Register." TBMP

102.02. An opposition is filed only after the Trademark Office has independently investigated and determined that the mark can register. *See* TBMP 306 (oppositions filed after publication in the *Official Gazette*). The "plaintiff" in an opposition is the opposer, and the "defendant" is the respondent. 37 CFR § 2.116. And the opponent, or plaintiff, in an opposition must itself be the "damaged" party. 15 U.S.C. § 1063(a).

Thus, an opposition is purely a dispute between the parties, independent of the Trademark Office's determination of registerability, and does not invoke any superior sovereign powers of the United States. *Compare United States v. Yakima Tribal Court*, 806 F.2d 853, 861 (9th Cir. 1986) (holding U.S. government's superior sovereign powers overrode Indian tribe's sovereign immunity such that tribal sovereign immunity did not bar suit by the U.S. government against the tribe); *Quileute Indian Tribe v. Babbitt*, 18 F.3d 1456, 1459 (9th Cir. 1994) (noting that in an administrative proceeding brought by the U.S. government against an Indian tribe, "tribal immunity is generally not asserted . . . because tribes cannot impose sovereign immunity to bar the federal government from exercising its trust obligations"). Because the Opposition is purely a dispute between two co-equal sovereigns, rather than a dispute brought by a superior sovereign against a tribe, tribal sovereign immunity may be properly applied.

Because SPCDG is a tribal arm, SPCDG has tribal sovereign immunity. SPCDG has not waived its immunity, nor has Congress abrogated its immunity. Accordingly, SPCDG is a non-consenting sovereign to this Opposition, which under *Federal Maritime Commission* and its progeny is an administrative adjudication. Tribal sovereign immunity bars the TTAB from

adjudicating this Opposition, and therefore, the Opposition must be dismissed.<sup>1</sup>

### II. Viejas' Opposition Should be Dismissed for a Lack of Standing

Under 15 U.S.C. § 1063(a), "[a]ny person who believes that he would be damaged by the registration of a mark upon the principal register" can oppose registration of the mark. The term "damage" in § 1063(a) specifically concerns an opponent's standing to file an opposition. *Ritchie v. Simpson*, 50 USPQ2d 1023, 1025 n.2 (Fed. Cir. 1999). At the pleading stage, an opponent must allege facts sufficient to show a "real interest" in the proceeding, and a "reasonable basis" for its belief that it would suffer damage if the mark at issue is registered. *Id.* at 1025. To plead a "real interest," an opponent must allege a "direct and personal stake" in the outcome of the proceeding. *Id.* at 1026. Further, the opponent's allegations in support of its belief of damage must have a reasonable basis "in fact." *Id.* at 1027. "The purpose of the standing requirement is 'to prevent litigation where there is no real controversy between the parties, where a plaintiff, petitioner or opposer, is no more than a mere intermeddler." *Coach Servs. Inc. v. Triumph Learning LLC*, 101 USPQ2d 1713, 1727 (Fed. Cir. 2012) (quoting *Lipton Indus., Inc. v. Ralston Purina Co.*, 670 F.2d 1024, 1028-29, 213 USPQ 185 (CCPA 1982)).

In opposing both U.S. Trademark Appl. Ser. No. 85/394,805 ("the '805 Application") and U.S. Trademark Appl. Ser. No. 85/394,796 ("the '796 Application"), Viejas claims that if SPCDG were granted registration of PINCH YOURSELF, that registration "would be a source of damage and injury to Opposer." ('805 Opp'n ¶ 8; '796 Opp'n ¶ 8.) However, nowhere does Viejas claim that it *is* using the PINCH YOURSELF mark or a confusingly similar mark. Rather, Viejas claims that it "has used"—past tense—the PINCH YOURSELF mark. ('805

<sup>&</sup>lt;sup>1</sup> Viejas has taken the position in prior litigation between the parties that tribal sovereign immunity exists in a purely commercial dispute between two tribal sovereigns. (*See* Exhibit C.)

## Opp'n ¶ 3; '796 Opp'n ¶ 3.)

With no current use or planned future use of the mark or a confusingly similar mark, it is unclear how Viejas has a "direct personal stake" in the outcome of the proceeding sufficient to establish the required "real interest" in the proceeding. Rather, Viejas is a mere intermeddler, the precise type of party that the "real interest" requirement is intended to prevent. *See Ritchie*, 50 USPQ2d at 1025; *see also Drew Estate Holding Co., LLC v. Fantasia Distrib. Inc.*, No. 11-21900-CIV, 2012 WL 864659 (S.D. Fla. Mar. 13, 2012) (granting motion to dismiss where plaintiff failed to allege that it was currently using the contested marks or similar marks and failed to allege in good faith that it could use any of the contested marks).

Similarly, with no current use or planned future use of the mark or a confusingly similar mark, it is unclear how Viejas has established a reasonable basis in fact for its belief of damage. Were PINCH YOURSELF to register, Viejas would not be harmed simply because it used the mark *in the past*. However, § 1063(a) does not permit opposition by any person who believes he *was* damaged; it only permits opposition by a person who believes he *would be* damaged.

Nor can standing be found by virtue of Viejas' allegations that the PINCH YOURSELF mark is descriptive ('805 Opp'n ¶ 4; '796 Opp'n ¶ 4). An opposer need not have proprietary rights in a mark *if* the opposer is engaged in the sale of the same or related products or services and needs the mark to effectively compete in its business, for example, if the mark is descriptive.<sup>2</sup> See, e.g., Jewelers Vigilance Comm. Inc. v. Ullenberg Corp., 2 USPQ2d 2021, 2024 (Fed. Cir. 1987); see also DeWalt, Inc. v. Magna Power Tool Corp., 129 USPQ 275, 280 (CCPA 1961) (damage presumed or inferred where "mark sought to be registered is descriptive

<sup>&</sup>lt;sup>2</sup> SPCDG disputes that PINCH YOURSELF is merely descriptive and believes that at a minimum, the mark is suggestive. However, the alleged descriptiveness of the PINCH YOURSELF mark is discussed here at some length for the purposes of establishing Viejas' failure to sufficiently plead the required damage.

of the goods and the opposer . . . is one who has a sufficient interest in using the term in its business"). However, where the mark is *not* descriptive, damage is not presumed, as an opposer does not have a sufficient interest in using a non-descriptive mark in its business. *DeWalt*, 129 USPQ at 280; *A. B. Dick Co. v. Whitin Machine Works*, 118 USPQ 380, 380-81 (CCPA 1958) (finding no damage and dismissing opposition where competitor was unable to establish descriptiveness of the mark at issue).

Here, Viejas claims to provide "similar services, including restaurant and bar services" ('805 Opp'n ¶ 2) and "casino gaming and entertainment services" ('796 Opp'n ¶ 2). However, the term PINCH YOURSELF is demonstrably *not* descriptive of the services set forth in either of SPCDG's Applications, and Viejas has not claimed a need to use the mark to compete.

A term is merely descriptive if it immediately conveys knowledge of a significant quality, characteristic, function, feature, or purpose of the goods or services it identifies with a "degree of particularity." *In re Gyulay*, 820 F.2d 1216, 3 USPQ2d 1009, 1009 (Fed. Cir. 1987); *Plus Prods. v. Med. Modalities Assocs., Inc.*, 211 USPQ 1199, 1204-05 (TTAB 1981). Whether a mark is merely descriptive is considered in relation to the identified goods and services, not in the abstract. *See, e.g., In re Polo Int'l Inc.*, 51 USPQ2d 1061 (TTAB 1999); *In re Am. Greetings Corp.*, 226 USPQ 365, 366 (TTAB 1985) ("Whether consumers could guess what the product is from consideration of the mark alone is not the test").

Viejas' allegations that PINCH YOURSELF is merely descriptive are, in their entirety, as follows:

The phrase "PINCH YOURSELF" is merely descriptive of "restaurant; hotel, bar and restaurant services; hotel accommodation services; hotel services for preferred customers; hotel, restaurant and catering services; bar and restaurant services; café and restaurant services; and restaurant services."

('805 Opp'n ¶ 4), and:

The phrase "PINCH YOURSELF" is merely descriptive of "entertainment services, namely, casino gaming; gaming services in the nature of casino gaming; casino services; and entertainment services, namely, live appearances by a professional entertainer."

('796 Opp'n ¶ 4).

On its face, the term PINCH YOURSELF does not immediately convey knowledge of *any* significant quality, characteristic, function, feature, or purpose with a degree of particularity of the restaurant, hotel, bar, and catering services listed in the '805 Application, nor does it do so for the entertainment, casino, and gaming services listed in the '796 Application. And nowhere does Viejas identify what purported significant quality, characteristic, function, feature, or purpose of the listed services in either Application is immediately conveyed with a degree of particularity by the term PINCH YOURSELF.

Even assuming *arguendo* that PINCH YOURSELF *were* to immediately convey knowledge of some significant quality, characteristic, function, feature, or purpose of SPCDG's services—an assumption that is necessary here considering Viejas' failure to identify anything that is immediately conveyed by the mark—"PINCH YOURSELF" has multiple connotations as applied to SPCDG's services and therefore is a double entendre. *See, e.g., In re Computer Business Sys. Group*, 229 USPQ 859, 859-60 (TTAB 1985) ("When a term or phrase, as applied to the goods or services in question, possesses double meaning; suggests something more than a characteristic of the goods; and functions as more than a mere description of the goods; it is not merely descriptive of the goods and may be registered under the Trademark Act."); TMEP 1213.05(c).

"PINCH YOURSELF" could be taken as a directive to physically "pinch," or squeeze with one's finger and thumb,<sup>3</sup> one's own body. "PINCH YOURSELF" could also be taken as expressing that one does not believe that something good that is happening to them is real.<sup>4</sup> Further, as shown by SPCDG's specimen, one of SPCDG's services advertised using the PINCH YOURSELF mark is SPCDG's lobster buffet. Since lobsters have claws that "pinch," the mark PINCH YOURSELF also conveys the concept of a "pinching" lobster claw.

Each connotation is one that the public would make fairly readily, and each is readily apparent from the mark itself. *See In re The Place Inc.*, 76 USPQ2d 1467, 1469 (TTAB 2005). Further, at least the first two connotations are not merely descriptive of *any* of SPCDG's services, and the third connotation is not merely descriptive of at least SPCDG's hotel, casino, gaming, and entertainment services. *See id*.

Accordingly, PINCH YOURSELF is a double entendre that cannot be refused registration as merely descriptive. See In re Tea & Sympathy, Inc., 88 USPQ2d 1062 (TTAB 2008) (THE FARMACY a double entendre and therefore not merely descriptive); In re Colonial Stores Inc., 394 F.2d 549, 157 USPQ 382 (CCPA 1968) (SUGAR & SPICE not merely descriptive of bakery products inasmuch as it is suggestive of a nursery rhyme); No Nonsense Fashions, Inc. v. Consol. Foods Corp., 226 USPQ 502, 507 (TTAB 1985) (SHEER ELEGANCE a double entendre not descriptive of pantyhose); In re Priefert Mfg. Co., Inc., 222 USPQ 731, 733 (TTAB 1984) (HAY DOLLY a double entendre due to expression "Hey Dolly" and therefore not merely descriptive of self-loading trailers for hauling hay bales); In re Del. Punch

<sup>3</sup> Merriam-Webster's online dictionary defines the transitive verb "pinch" as "to squeeze between the finger and thumb or between the jaws of an instrument." (http://www.merriam-webster.com/dictionary/pinch)

<sup>&</sup>lt;sup>4</sup> MacMillan Dictionary defines the phrase "have to pinch yourself" as "used for saying that you do not believe that something good that is happening to you is real," providing the example, "I had to pinch myself to make sure I wasn't dreaming." (<a href="http://www.macmillandictionary.com/dictionary/british/pinch">http://www.macmillandictionary.com/dictionary/british/pinch</a>)

Co., 186 USPQ 63, 64 (TTAB 1975) (THE SOFT PUNCH a double entendre not descriptive of non-carbonated, non-alcoholic beverages); In re Nat'l Tea Co., 144 USPQ 286 (TTAB 1965) (NO BONES ABOUT IT a double entendre as applied to boneless ham); In re Happy Baby Carrier Co., 179 USPQ 864 (TTAB 1973) (NAPSACK a double entendre as applied to baby carriers); In re Horsman Dolls Inc., 185 USPQ 639 (TTAB 1975) (CRY BABY a double entendre as applied to dolls that "cry real tears"); In re Grand Metro. Foodservice Inc., 30 USPQ2d 1974 (TTAB 1994) (MUFFUNS a double entendre as applied to muffins); Henry Siegel Co. v. M & R Int'l Mfg. Co., 4 USPQ2d 1154 (TTAB 1987) (CHIC a double entendre and not merely descriptive of women's jeans); In re Computer Bus. Sys. Group, 229 USPO 859 (TTAB 1985) (AUTOMATE a double entendre as applied to computer programs); Medtronic, Inc. v. Med. Devices, Inc., 204 USPQ 317 (TTAB 1979) (NEUROPAC a double entendre as applied to neuropacers); In re Wilderness Group, Inc., 189 USPQ 44 (TTAB 1975) (LET YOUR HIPS SHOULDER THE LOAD a double entendre and not merely descriptive of hiking and camping equipment); In re Milk Found., 170 USPQ 50 (TTAB 1971) (EVERY BODY NEEDS MILK a double entendre for services promoting the dairy industry); In re Amrise, 160 USPQ 687 (TTAB 1969) (ITALIAN MAIDE a double entendre as applied to tomato paste and spaghetti sauce); In re David Crystal, Inc., 145 USPQ 95 (TTAB 1965) (SPORTSWEAR FOR EVERYWEAR a double entendre and not merely descriptive of or incapable of distinguishing dresses and suits); In re Pevely Dairy Co., 128 USPQ 13 (TTAB 1960) (SCOOP OF THE MONTH a double entendre as applied to ice cream).

Because PINCH YOURSELF is not merely descriptive as a matter of law, Viejas necessarily has no need to use the mark descriptively in its business. As there is no other

sufficient pleading of damage to be found in Viejas' Opposition, Viejas necessarily has failed to plead standing. Accordingly, Viejas' Opposition should be dismissed. *Cf. Ava Enters. Inc. v. P.A.C. Trading Group, Inc.*, 86 USPQ2d 1659 (TTAB 2008) (granting motion to dismiss where Board determined that as a matter of law a likelihood of confusion could not exist between the opposer's mark and the applicant's mark).

## III. Viejas' Opposition Should be Dismissed for Failure to State a Claim

Opposition proceedings are governed by the Federal Rules of Civil Procedure. 37 C.F.R. § 2.116(a). Thus, the Board may grant motions to dismiss an opposition pursuant to Fed. R. Civ. P. 12, and will apply the same standards as would apply in federal court. TBMP § 503.

A motion to dismiss may be based on the lack of a cognizable legal theory or the absence of sufficient factual allegations. *Balistreri v. Pacifica Police Dept.*, 901 F.2d 696, 699 (9th Cir. 1990). Conclusory allegations of law and unwarranted inferences are insufficient to defeat a motion to dismiss. *Cholla Ready Mix, Inc. v. Civish*, 383 F.3d 969, 973 (9th Cir. 2004); *see also Spreewell v. Golden State Warriors*, 266 F.3d 979, 988 (9th Cir. 2001) (noting courts are not required to accept as true allegations that are merely conclusory, unwarranted deductions of act, or unreasonable inferences). Further, the Board may take judicial notice of matters of public record in deciding a motion to dismiss. *Olsen v. Idaho State Bd. of Medicine*, 363 F.3d 916, 921-22 (9th Cir. 2004); *accord Biomedical Patent Mgmt. Corp. v. California*, 505 F.3d 1328, 1331 n.1 (Fed. Cir. 2007).

In *Bell Atlantic Corp. v. Twombly*, 550 U.S. 544, 127 S. Ct. 1955, 1969 (2007), the Supreme Court altered the federal pleading standard, expressly rejecting the "no set of facts" standard from *Conley v. Gibson*. While recognizing that FRCP 8(a)(2) "requires only 'a short

and plain statement of the claim showing that the pleader is entitled to relief,' in order to 'give the defendant fair notice of what the . . . claim is and the grounds upon which it rests,'" the Rule "still requires a 'showing,' rather than a blanket assertion, of entitlement to relief. Without some factual allegation in the complaint, it is hard to see how a claimant could satisfy the requirement of providing not only 'fair notice' of the nature of the claim, but also 'grounds' on which the claim rests." *Twombly*, 550 U.S. at 555, 556 n.3. Thus, while "heightened fact pleading of specifics" is not required, a complaint still must provide "enough facts to state a claim to relief that is plausible on its face." *Id.* at 570. Finding that the complaint at issue in *Twombly* only contained legal conclusions, rather than any independent allegations sufficient to support the legal claims asserted, the Court found that the *Twombly* plaintiffs failed to "nudge[] their claims across the line from conceivable to plausible," and accordingly dismissed the complaint.

In Ashcroft v. Iqbal, 129 S. Ct. 1937 (2009), the court reaffirmed Twombly, holding that although detailed factual allegations are not required, mere conclusory allegations will not suffice. Iqbal, 129 S. Ct. at 1949. Thus, in order to survive a motion to dismiss, "a complaint must contain sufficient factual matter, accepted as true, to 'state a claim to relief that is plausible on its face." Id. (quoting Twombly, 550 U.S. at 570). A pleading is facially plausible when it contains "factual content that allows the court to draw the reasonable inference that the defendant is liable for the misconduct alleged." Id. (citing Twombly at 556). Although there is no probability requirement, the plausibility standard requires "more than a sheer possibility that a defendant has acted unlawfully," and "[t]hreadbare recitals of the elements of a cause of action, supported by mere conclusory statements, do not suffice." Id. (citing Twombly at 556).

Iqbal further clarified that Twombly set out a "two-pronged approach" for determining whether a motion to dismiss for failure to state a claim should be granted. Id. First, allegations that are mere legal conclusions are identified; such allegations are not entitled to an assumption of truth and cannot be the grounds for finding a plausible claim. See id. at 1951. Second, factual allegations are examined to see if they facially suggest plausible entitlement to relief. Id.

The Board has recognized the application of *Iqbal* and *Twombly* to inter partes proceedings. *See Doyle v. Al Johnson's Swedish Rest. & Butik Inc.*, 101 USPQ2d 1780, 1782 (TTAB 2012) (finding cancellation petitioner failed to adequately allege standing under *Iqbal* and *Twombly*).

Applying *Iqbal* and *Twombly* to the present Opposition, it is evident that Viejas has failed to state a claim to relief that is plausible on its face. The allegations set forth in paragraphs 4-8 of the Opposition (that the mark is merely descriptive, does not function as a trademark, is not distinctive, and is so highly descriptive as to be incapable of acquiring distinctiveness) are mere legal conclusions not entitled to an assumption of truth and cannot be grounds for finding a plausible claim. *Iqbal*, 129 S. Ct. at 1951. The remaining paragraphs therefore set forth the only factual allegations in the Opposition, namely:

- SPCDG has filed the '805 Application and the '796 Application for PINCH YOURSELF for the services recited in the Applications ('805 Opp'n ¶ 1; '796 Opp'n ¶ 1);
- Viejas purportedly "provides similar services," "including restaurant and bar services" ('805 Opp'n ¶ 2) and "casino gaming and entertainment services" ('796 Opp'n ¶ 2); and
- Viejas "has used the phrase 'PINCH YOURSELF' to promote its services" at its restaurants ('805 Opp'n ¶ 3 (emphasis added)) and at its casino ('796 Opp'n ¶ 3).

These factual allegations—the only ones found in the Opposition—fail to plausibly suggest any entitlement to relief.

For example, in paragraph 4 of the Opposition Viejas perfunctorily alleges that PINCH YOURSELF is merely descriptive of the services recited in the respective Applications. ('805 Opp'n ¶ 4; '796 Opp'n ¶ 4.) However, for a term to be merely descriptive, it must immediately convey knowledge of a significant quality, characteristic, function, feature, or purpose of the goods or services it identifies with a "degree of particularity." *In re Gyulay*, 3 USPQ2d at 1009. As discussed above, Viejas does not identify *what* purported significant quality, characteristic, function, feature, or purpose of the services listed in the Applications are immediately conveyed with a degree of particularity by the mark PINCH YOURSELF. (*See* '805 Opp'n ¶ 4; '796 Opp'n ¶ 4.) In short, Viejas's purely legal conclusion that the PINCH YOURSELF mark is merely descriptive contains no factual content that would allow the Board to reasonably infer that the mark is, indeed, merely descriptive. It is the sort of "threadbare recital of the elements of a cause of action" which *Ighal* refutes.

Viejas's remaining allegations suffer a similar fate, as each allegation states a different legal conclusion without providing any factual basis for the legal allegations set forth therein. The only factual allegations set forth in the Opposition are that SPCDG filed the present Applications for PINCH YOURSELF; that Viejas provides restaurant, bar, and casino gaming and entertainment services; and that Viejas at some time in the past used PINCH YOURSELF to promote its services. None of these factual allegations plausibly suggest that PINCH YOURSELF "does not function as a trademark" ('805 Opp'n ¶ 5, '796 Opp'n ¶ 5), or "has not become distinctive of Applicant's services" ('805 Opp'n ¶ 6, '796 Opp'n ¶ 6), or "is so highly

descriptive as to not be capable of acquiring distinctiveness for Applicant's services" ('805 Opp'n ¶ 7, '796 Opp'n ¶ 7).

Thus, like the plaintiff in *Twombly*, Viejas has failed to provide any independent factual allegations to support its legal claims, and has failed to nudge those claims "across the line from conceivable to plausible." Under *Twombly* and *Iqbal*, Viejas's Opposition must be dismissed.

#### **CONCLUSION**

For the reasons set forth above, the Opposition must be dismissed.

DATED this 12<sup>th</sup> day of June, 2012.

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

By /Hillary A. Brooks/ Hillary A. Brooks Registration No. 45,815 Attorney for Applicant

#### CERTIFICATE OF SERVICE

The undersigned hereby certifies that a true and correct copy of the foregoing APPLICANT'S MOTION TO DISMISS was served upon Opposer on June 12, 2012 by forwarding said copy via first class mail to Opposer at the following address:

Charles F. Reidelbach, Jr. Higgs Fletcher & Mack LLP 401 West "A" Street, Suite 2600 San Diego, CA 92101

By

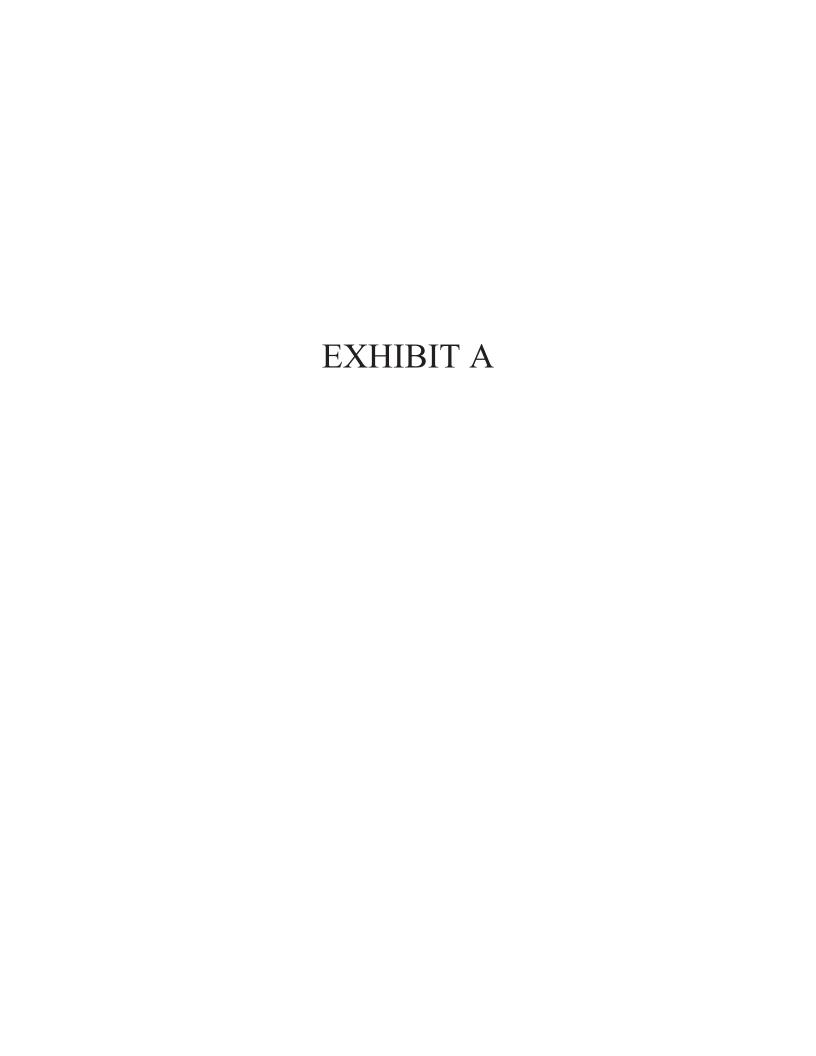
Lisa Rilev

MARGER JOHNSON & McCOLLOM, P.C.

210 SW Morrison Street, Ste. 400

Portland, Oregon 97204

Telephone: (503) 222-3613



1 2 3 4 5 6	samouris@higgslaw.com CHARLES F. REIDELBACH, JR. (CA SBN 167482) reidelbach@higgslaw.com MICHAEL J. HOISINGTON (CA SBN 201679) mhoisington@higgslaw.com HIGGS, FLETCHER & MACK LLP 401 West "A" Street, Suite 2600 San Diego, CA 92101-7913 TEL: 619.236.1551 FAX: 619.696.1410			
8	UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF CALIFORNIA			
10 11 12 13 14	SAN PASQUAL CASINO DEVELOPMENT GROUP, INC., an enterprise fund of the San Pasqual Band of Mission Indians, a Federally- Recognized Indian Tribe,  Plaintiff, v.	CASE NO. 11CV1983 JAH POR  DECLARATION OF ANTHONY R. PICO IN SUPPORT OF MOTION TO DISMISS FILED BY SPECIALLY-APPEARING DEFENDANTS, VIEJAS BAND OF KUMEYAAY INDIANS AND ITS OFFICERS		
15 16 17 18	VIEJAS BAND OF KUMEYAAY INDIANS, a Federally-Recognized Indian Tribe d/b/a Viejas Casino, et. al.,  Defendants.	CASE FILED: August 29, 2011 DATE: December 12, 2011 TIME: 2:30 p.m. COURTROOM: 11 (2nd Floor) JUDGE: Hon. John A. Houston		
19 20 21 22	I, Anthony R. Pico, declare:			
23 24 25 26 27 28	<ol> <li>I am the Tribal Chairman for the Viejas Band of Kumeyaay Indians, federally recognized Indian tribe (the "Viejas Band" or the "Band"). I have served as the chairman from 1983 to 2001, 2003 to 2009, and starting again this year.</li> <li>The Viejas Band is a federally recognized Indian tribe which is identified on the Federal Register listing of federally recognized tribes as the Capitan Grande Band of Diegueno Mission Indians of California: Viejas (Baron</li> </ol>			

HIGGS, FLETCHER & MACK LLP
ATTORNEYS AT LAW
SAN DIEGO

Case No. 11cv1983 JAH POR

7

12

11

13 14

15

16 17

18

19

20 21

22

23

24

25 26

27 28

HIGGS, FLETCHER & MACK LLP

> ORNEYS AT LAW SAN DIEGO

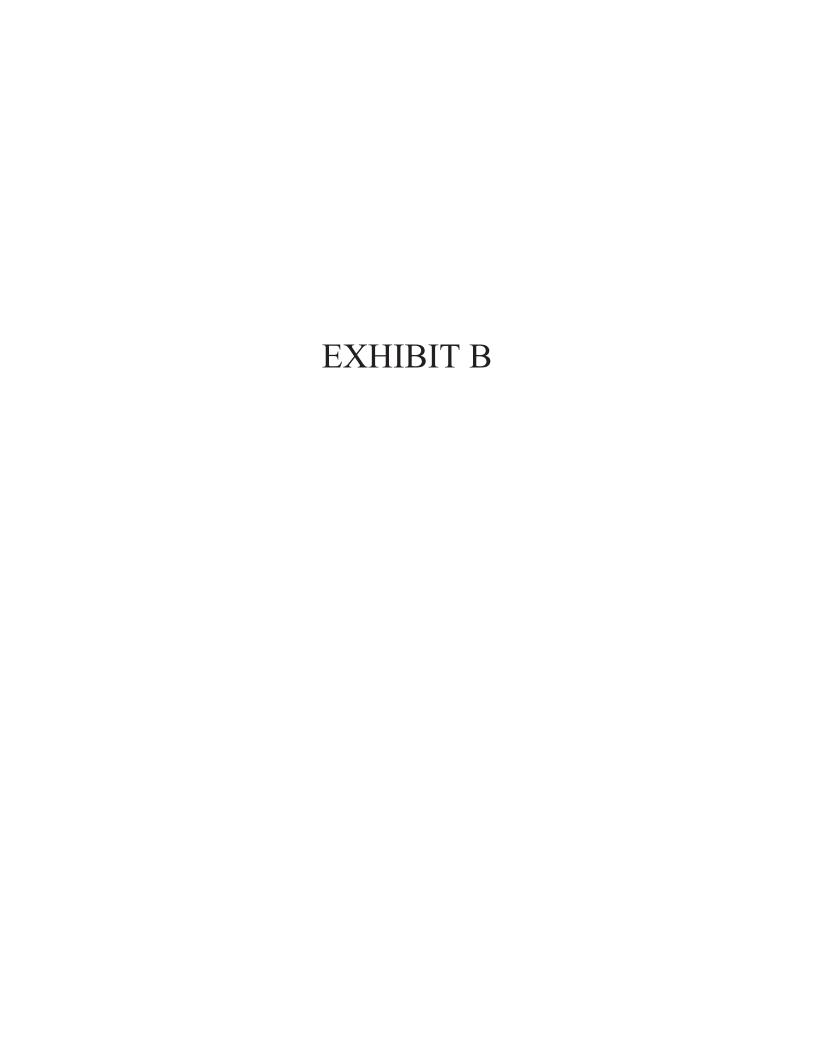
1039612.1

Long) Group of Capitan Grande Band of Mission Indians of the Viejas Reservation, California. A copy of the Federal Register listing is attached as Exhibit A to the accompanying Request for Judicial Notice.

- Every enrolled member of the Viejas Band, age 18 or older, is a 3. member of Viejas' "General Council." Every two years, the General Council elects seven of its members to the Tribal Council, including the Chairman. Pursuant to the election and the authority delegated to it by the General Council, the Tribal Council is the governing body of Viejas.
- The Viejas Band owns and operates the Viejas Casino, located 4. approximately 40 minutes east of San Diego, for the benefit of the Band as a whole, to promote the Band's self-determination and general welfare. The Viejas Casino opened in 1991 and is located entirely on the Viejas reservation. The Viejas Casino is a governmental business enterprise of the Viejas Band and is not incorporated or otherwise organized under the laws of any state. Likewise, "Viejas Enterprises" is an arm of the Viejas Band which manages the day to day affairs of the Band's casino and other businesses—it is not a separate entity. The Tribal Council oversees the direction and control of the Viejas Casino and Viejas Enterprises, including authorizing any waivers of sovereign immunity. The managers of the Viejas Casino and Viejas Enterprises are employees of the Viejas Band and answer to the Tribal Council.
- 5. As Tribal Chairman for Viejas, I would be aware of any waivers of the Band's sovereign immunity. The Band has not waived its sovereign immunity relating to the events or parties at issue in this case.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct. Dated this \_\_\_\_\_day of November, 2011, in Alpine, California.





```
NEAL M. COHEN (184978) [nmc@viplawgroup.com]
   Vista IP Law Group LLP
   2040 Main Street, Suite 710
   Irvine, California 92614
 3
   Tel: (949) 724-1849
   Fax: (949) 625-8955
 4
   Attorneys for Plaintiff
         SAN PASQUAL CASINO DEVELOPMENT GROUP INC., an enterprise
 5
         fund of the San Pasqual Band of Mission Indians,
 6
         Federally-Recognized Indian Tribe
                      UNITED STATES DISTRICT COURT
 8
                     SOUTHERN DISTRICT OF CALIFORNIA
 9
                                          Case No. '11CV1983 JAH POR
     SAN PASOUAL CASINO DEVELOPMENT
10
     GROUP INC., an enterprise fund of
     the San Pasqual Band of Mission
                                          COMPLAINT FOR TRADEMARK
11
                                          INFRINGEMENT, COPYRIGHT
     Indians, a Federally-Recognized
                                          INFRINGEMENT, AND UNFAIR
     Indian Tribe,
12
                                          COMPETITION
               Plaintiff,
13
                                          DEMAND FOR JURY TRIAL
          VS.
14
     VIEJAS BAND OF KUMEYAAY INDIANS,
15
     a Federally-Recognized Indian
     Tribe d/b/a Viejas Casino
16
               Defendant.
17
18
        Plaintiff SAN
                         PASQUAL CASINO
                                           DEVELOPMENT GROUP
                                                                 INC.
19
    ("SPCDG") alleges as follows against Defendant VIEJAS BAND OF
20
   KUMEYAAY INDIANS d/b/a Viejas Casino ("Viejas"), on personal
21
   knowledge as to Plaintiff's own activities and on information
22
   and belief as to the activities of others, as follows:
23
24
25
26
27
                                    1
28
```

# 2

4 5

6

8

9

10

11

## 12

13

1415

16

17 18

19

2021

22

2324

25

26

27

#### NATURE OF THE CASE

1. This is action for trademark an common law infringement; infringement; common law trade dress unfair competition under the laws of the United States (codified at 15 U.S.C. § 1117, et seq.); unfair competition under California's Unfair Competition Law, Cal. Bus. & Prof. Code § 17200; common law unfair competition; and copyright infringement under the Copyright Act of 1976, as amended (codified at 17 U.S.C. § 101 et seq.).

#### JURISDICTION AND VENUE

- 2. This Court has subject matter jurisdiction pursuant to 15 U.S.C. § 1121 and 28 U.S.C. §§ 1331, 1338(a), and 1362.
- supplemental jurisdiction 3. This Court has Plaintiff's state law claims pursuant to the provisions of 28 U.S.C. § 1338(b) insofar as the claims are joined with substantial and related federal claim arising under the trademark laws of the United States. See 15 U.S.C. § 1051 et seq.
- 4. This Court has personal jurisdiction over Defendant at least because Defendant has substantial contacts in the State of California related to the claims in this action and Defendant

engaged in the wrongful acts alleged herein in the State of California.

5. Venue is proper in this judicial district pursuant to 28 U.S.C. §§ 1391(b)(2) and 1400(a).

#### **PARTIES**

- 6. Plaintiff is a wholly-owned, tribally chartered corporation formed under the laws of the San Pasqual Band of Mission Indians, a federally recognized Indian tribe. Plaintiff's headquarters and principal business address are at 16300 Nyemii Pass Road, Valley Center, California 92082.
- 7. Defendant is a federally recognized Indian tribe with its headquarters and principal business address at 5000 Willows Road, Alpine, California 91901.
- 8. Plaintiff and Defendant each operate competing casinos in the San Diego area. Plaintiff is responsible for the operation, management and development of Valley View Casino & Hotel ("Valley View"), which is located approximately one hour north of San Diego at 16300 Nyemii Pass Road, Valley Center, California 92082. Defendant's casino, Viejas Casino, is located approximately forty minutes east of San Diego, at 5000 Willows Road, Alpine, California 91901. Both Valley View and Viejas Casino are listed as San Diego-area casinos on the City of San Diego's website, www.sandiego.com, along with Barona Casino,

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

2.4

25

26

27

28

Harrah's Rincon Casino and Resort, Pala Casino Spa & Resort, Pechanga Resort & Casino, and Sycuan Casino.

## STATEMENT OF FACTS COMMON TO ALL COUNTS

### Plaintiff's Intellectual Property

- Valley View opened on April 18, 2001, marking a milestone in the history of Plaintiff's tribe. Plaintiff was formed on November 20, 2004 and thereafter assumed exclusive responsibility for the operation, management and development of Valley View. In the ten years since Valley View opened, Plaintiff and its Tribe have invested significant capital and time to make Valley View the premier gaming destination it is today. As part of these efforts, Plaintiff has created, sought and obtained protection for, and enforced an impressive intellectual property portfolio, which includes trademarks, service marks, trade dress, and copyrights.
- 10. To succeed in the crowded San Diego-area market, Plaintiff has undertaken several steps to set itself apart from its competitors. One such effort is Plaintiff's all-you-can-eat lobster buffet, marketed under the mark PINCH YOURSELF since at least September 2009. Since the campaign began, Plaintiff has used and displayed the PINCH YOURSELF mark in the sale and advertising of its services, which include bar and restaurant services, gaming and casino services, and customer loyalty and

customer club services. Plaintiff prominently featured its PINCH YOURSELF mark in a wide variety of advertisements, including: on postcards; on the NBC San Diego website; in various issues of the San Diego Reader, Casino Player magazine, Riviera magazine, and Inland Empire magazine; in multiple issues of Plaintiff's Valley View Casino Newsletter; on multiple local billboards; and on T-shirts. As a result of Plaintiff's use of its PINCH YOURSELF mark, Plaintiff's all-you-can-eat lobster buffet has been a resounding success, and consumers have come to recognize PINCH YOURSELF as an indicator of Plaintiff's goods and services. Plaintiff's PINCH YOURSELF mark is often displayed with a claw "pinching" the mark.

step to set itself 11. In another apart from the competition, Plaintiff created in house a pair of television commercials advertising and promoting Valley View: subtitled "L'Amore Branding," Valley View Casino #1" (hereinafter "L'Amore #1 Commercial"); and "VIEW0411," subtitled "L'Amore Valley View Casino #2" (hereinafter "L'Amore Commercial") (collectively, "the L'Amore Commercials").

12. Both L'Amore Commercials feature a distinctive combination of elements that serve to identify and distinguish Plaintiff's goods and services from those of others and to indicate the source of Plaintiff's goods and services, namely:

27

26

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

- a. The use of a catchy piece of music with a driving beat;
- b. The complete absence of spoken narration;
- c. The use of multiple short vignettes that rapidly change while simultaneously giving the impression of a slow, sweeping panoramic view;
- d. The use of white, sans-serif font text moving across the images of the vignettes; and
- e. The use of emphasis on particular words that, in conjunction with the underlying images, evoke particular moods and create the sense that Plaintiff's casino is sophisticated, indulgent, luxurious, opulent, and sexy, yet refined (collectively, "the L'Amore Trade Dress").
- 13. Both L'Amore Commercials feature a unique selection and arrangement of expressive elements, namely:
  - a. Artistic choices as to the shooting and editing of multiple short vignettes that rapidly change while simultaneously giving the impression of a slow, sweeping panoramic view, the vignettes portraying different aspects of Plaintiff's casino including slot machines, table games, fine dining, gourmet food, and hotel services;

- b. Artistic choices as to camera angles, particularly as to mixing wide-angled, slowly-sweeping shots with tightly focused, close-up shots;
- c. Artistic choices as to lighting, particularly as to
   using low light in certain vignettes to create a
   moody, opulent ambience;
- d. Selection and arrangement of words in white, san-serif font that scroll across the vignettes, particular words emphasized to create the sense that Plaintiff's casino is sophisticated, indulgent, luxurious, opulent, and sexy, yet refined;
  - i. With respect to the L'Amore #1 Commercial, emphasis on the word "insatiable" in conjunction with an image of fine food, conveying the feeling that Plaintiff's casino offers rich, decadent dining experiences;
  - ii. With respect to the L'Amore #1 Commercial, emphasis on the word "exciting" in conjunction with an image of a table game, conveying the feeling that Plaintiff's casino offers fun and excitement to its patrons;
- e. Artistic choices as to the audio component of the commercials, namely

- i. A complete absence of spoken narration;
- ii. The use of scrolling text across the vignettes in the place of spoken narration; and
- iii. The use of a catchy piece of music with a driving
   beat;
- f. Artistic choices as to pacing such that a sense of excitement is created, with
  - i. 17 different vignettes shown over the course of the L'Amore #1 Commercial's thirty seconds, each vignette lasting on average 1.76 seconds; and
  - ii. 18 different vignettes shown over the course of
     the L'Amore #2 Commercial's thirty seconds, each
     vignette lasting on average 1.72 seconds;
- g. Artistic choices as to the characters portrayed, with couples shown in addition to groups of people and with no particular person, couple, or group of people developed;
  - i. With respect to the L'Amore #1 commercial, artistic choices as to the portrayal of winning characters, with only female characters clearly shown winning the casino's games; and
- h. Artistic choices as to the sequence of the vignettes, namely, a seemingly random intermixing of the

different vignettes without any clear, natural progression in storyline (collectively, "the L'Amore Expressive Elements").

14. Plaintiff's L'Amore #1 Commercial first aired in San Diego, Orange, Los Angeles, Riverside, Ventura, Santa Barbara, and San Bernandino Counties (collectively, "the Southern California Market") on February 7, 2011. Plaintiff's L'Amore #2 Commercial first aired in the Southern California Market on April 11, 2011. Since their first airings, both commercials have run thousands of times. As of the filing of this Complaint, both commercials are still airing in the Southern California Market. Additionally, both L'Amore Commercials have been posted on Plaintiff's YouTube Channel, "VVCasinoHotel," since late April 2011.

15. Plaintiff's efforts to set itself apart, including through the use of its PINCH YOURSELF mark and its L'Amore Commercials, have paid off: In its "2011 Best of Gaming" issue, Casino Player Magazine recognized Plaintiff as the Best Overall Gaming Resort in California, and awarded Plaintiff its sixth consecutive Best Buffet title for Plaintiff's all-you-can-eat lobster buffet.

16. On August 10, 2011, Plaintiff applied for federal trademark registration of its PINCH YOURSELF mark: (a) Ser. No.

85/394,831 for customer loyalty services and customer club services. for commercial, promotional and/or advertising first used in commerce in connection with the purposes, identified services at least as early as December 2010; (b) Ser. No. 85/394,805 for restaurant; hotel, bar and restaurant services; hotel accommodation services; hotel services for preferred customers; hotel, restaurant and catering services; bar and restaurant services; cafe and restaurant services; and restaurant services, first used in commerce in connection with the identified services at least as early as September 2009; and No. 85/394,796 for entertainment services, namely, casino gaming; gaming services in the nature of casino gaming; casino services; and entertainment services, namely, live by a professional entertainer, first used in commerce in connection with the identified services at least as early as September 2009. As of the filing of this Complaint, Plaintiff's trademark applications remain pending.

17. Also on August 10, 2011, Plaintiff sent the following applications for copyright registration, along with a deposit and fee, to the United States Copyright Office: (a) Case # 1-646798370 for the L'Amore #1 commercial, and (b) Case #1-646798471 for the L'Amore #2 commercial. Plaintiff's applications were both received by the Copyright Office on or

27

1

2

3

4

5

6

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

about August 10, 2011. As of the filing of this Complaint, Plaintiff's copyright applications remain pending.

# Defendant's Infringement and Misappropriation of Plaintiff's Intellectual Property

- 18. 17, 2011, Βv letter dated February contacted Defendant regarding unauthorized use of one Plaintiff's registered trademarks by Defendant. By email dated March 1, 2011, Defendant's then General Manager indicated that Defendant would cease use of the mark.
- On or about August 3, 2011, Defendant launched an 19. advertising campaign whereby Defendant marketed an all-you-caneat snow crab buffet under the mark PINCH YOURSELF. Defendant. announced its campaign via press releases posted on several national websites, including www.reuters.com, www.cnbc.com, www.businesswire.com, finance.yahoo.com, and www.allbusiness.com, well as through several postings as on www.facebook.com and Defendant's Defendant's page on own Defendant also created a commercial website, www.viejas.com. for its campaign that prominently features the PINCH YOURSELF mark, which was posted on at least Defendant's www.facebook.com In several instances, Defendant uses PINCH YOURSELF with an image of a claw "pinching" the mark.
- 20. Sometime around July 16, 2011, Defendant first aired a television commercial, with the opening verbiage "Are you ready

1

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

2.3

2.4

25

to play?", advertising and promoting its casino (hereinafter "the Viejas Commercial").

- 21. The Viejas Commercial features the same or a similar combination of elements as the L'Amore Trade Dress, namely:
  - a. The use of a catchy piece of music with a driving beat;
  - b. A complete absence of spoken narration;
  - c. The use of multiple short vignettes that rapidly change while simultaneously giving the impression of a slow, sweeping panoramic view;
  - d. The use of white, sans-serif font text moving across the images of the vignettes; and
  - e. The use of emphasis on particular words that, in conjunction with the underlying images, evokes particular moods and creates the sense that Defendant's casino is sophisticated, indulgent, luxurious, opulent, and sexy.
- 22. The Viejas Commercial also features a substantially similar selection and arrangement of expressive elements as those seen in the L'Amore Commercials, namely:
  - a. Artistic choices as to the shooting and editing of multiple short vignettes that rapidly change while simultaneously giving the impression of a slow,

sweeping panoramic view, the vignettes portraying different aspects of Defendant's casino including slot machines, table games, fine dining, and gourmet food, the vignettes further giving the impression that Defendant offers hotel services through the use of two ambiguous scenes showing a card that could be mistaken for a hotel room key card even though Defendant does not offer hotel services;

- b. Artistic choices as to camera angles, particularly as to mixing wide-angled, slowly-sweeping shots with tightly focused, close-up shots;
- c. Artistic choices as to lighting, particularly as to using low light in certain vignettes to create a moody, opulent ambience;
- d. Selection and arrangement of words in white, san-serif font that scroll across the vignettes, particular words emphasized to create the sense that Defendant's casino is sophisticated, indulgent, luxurious, opulent, and sexy;
  - i. In substantial similarity to the L'Amore #1 Commercial, emphasis on the word "indulge" in conjunction with an image of fine food, conveying

- the feeling that Defendant's casino offers rich, decadent dining experiences;
- ii. In substantial similarity to the L'Amore #1 Commercial, emphasis on the word "excitement" in conjunction with an image of a table game, conveying the feeling that Defendant's casino offers fun and excitement to its patrons;
- e. Artistic choices as to the audio component of the Viejas Commercial, namely
  - i. A complete absence of spoken narration;
  - ii. The use of scrolling text across the vignettes in the place of spoken narration; and
  - iii. The use of a catchy piece of music with a driving
     beat;
- f. Artistic choices as to pacing such that a sense of excitement is created, with 19 different vignettes shown over the course of the Viejas Commercial's thirty seconds, each vignette lasting on average 1.67 seconds;
- g. Artistic choices as to the characters portrayed, with couples shown in addition to groups of people, with no particular person, couple, or group of people

- developed, and with only female characters clearly shown winning the casino's games; and
- h. Artistic choices as to the sequence of the vignettes, intermixing namely, a seemingly random different vignettes without clear natural any progression in storyline.
- 23. Defendant's Viejas Commercial aired approximately five the L'Amore #1 Commercial first after approximately three months after the L'Amore #2 Commercial first aired. The Viejas Commercial has aired in at least the Southern California Market.
- 24. Between August 10, 2011, and August 17, 2011, Plaintiff letters to Defendant regarding Defendant's sent infringement and misappropriation of the PINCH YOURSELF mark and the L'Amore Commercials and requesting that Defendant cease its PINCH YOURSELF campaign and pull the Viejas Commercial. Defendant refused to do so.
- 25. Because of Defendant's apparent concerted effort to pattern of copying and infringing Plaintiff's continue a intellectual property for the purpose of trading off Plaintiff's goodwill, this Complaint necessarily follows.

456

8 9 10

1112

13

1415

1617

1819

2122

20

23 24

25

26

27

28

#### COUNT 1

#### Common Law Trademark Infringement of the PINCH YOURSELF Mark

- 26. Plaintiff repeats and realleges each of the allegations contained in paragraphs 1 through 25 of this Complaint as if fully set forth herein.
- 27. Plaintiff has continuously used the PINCH YOURSELF mark in commerce in connection with Plaintiff's services, including in connection with Plaintiff's all-you-can-eat lobster buffet, since at least as early as September 2009 and, accordingly, has established common law trademark rights in the PINCH YOURSELF mark.
- 28. Defendant's unauthorized use in commerce of the PINCH YOURSELF mark in association with Defendant's all-you-can-eat snow crab buffet constitutes infringement of Plaintiff's common law trademark rights, misappropriates the valuable goodwill developed by Plaintiff in the PINCH YOURSELF mark, and is likely to cause confusion among the relevant consuming public.
- should 29. Defendant was, or have been, aware Plaintiff's and corresponding rights use of in the PINCH YOURSELF mark. Defendant's acts aforesaid, including using names, terms, and/or marks that are identical or, confusingly similar to Plaintiff's PINCH YOURSELF mark identical or substantially similar goods and services,

constitute willful infringement of Plaintiff's rights in the PINCH YOURSELF mark.

- 30. Defendant's acts of willful infringement of Plaintiff's rights in the PINCH YOURSELF mark have caused and, unless restrained, will continue to cause great and irreparable injury to Plaintiff, Plaintiff's business, and to the goodwill and reputation of Plaintiff in an amount that cannot be ascertained at this time, leaving Plaintiff no adequate remedy at law.
- 31. Defendant's acts are the proximate cause of such injury and damage.
- 32. By reason of the foregoing, Plaintiff is entitled to preliminary and permanent injunctive relief against Defendant, and anyone acting in concert with Defendant, to restrain further acts of infringement of Plaintiff's rights and, after trial, to recover any damages proven to have been caused by reason of Defendant's aforesaid acts of infringement and any enhanced damages justified by the willful and intentional nature of such acts.

# 

## 

# 

#### COUNT TWO

## Federal Unfair Competition with Respect to the PINCH YOURSELF Mark (15 U.S.C. § 1125)

- 33. Plaintiff repeats and realleges each of the allegations contained in paragraphs 1 through 32 of this Complaint as if fully set forth herein.
- 34. By its knowing and intentional unauthorized imitation, adoption, and use of Plaintiff's PINCH YOURSELF mark and/or marks which are confusingly similar to Plaintiff's PINCH YOURSELF mark in association with Defendant's goods and services, Defendant has and continues to falsely designate its goods and services as being derived or affiliated with those of the Plaintiff.
- 35. Defendant's use of the PINCH YOURSELF mark is likely to cause and/or has caused relevant consumers to mistakenly believe that Defendant has an affiliation with Plaintiff, that Defendant's business is sponsored or approved by Plaintiff, or that Defendant is otherwise associated with or has obtained permission from Plaintiff to use the PINCH YOURSELF mark in connection with the sale of Defendant's goods and services.
- 36. By engaging in the unauthorized activities described above, Defendant has made, and continues to make, false, deceptive, and misleading statements constituting false representations and false advertising made in connection with

the sale of goods or services distributed in interstate commerce in violation of Section 43(a) of the Lanham Act, 15 U.S.C. § 1125(a). Furthermore, in view of the notices provided to Defendant by the acts of Plaintiff, such activities were, and remain, willful and intentional.

37. Defendant's willful and intentional acts of unfair competition, false advertising, and false designation of origin, have caused and are causing great and irreparable injury and damage to Plaintiff's business and its goodwill and reputation in an amount that cannot be ascertained at this time and, unless preliminarily and permanently restrained, will cause further irreparable injury and damage, leaving Plaintiff with no adequate remedy at law.

38. By reason of the foregoing, Plaintiff is entitled to injunctive relief against Defendant, and anyone acting in concert with Defendant, to restrain further acts of unfair competition, false advertising, and false designation of origin and, after trial, to recover any damages proven to have been caused by reason of Defendant's aforesaid acts, and to recover enhanced damages based on Defendant's willful, intentional, and/or grossly negligent acts.

# 

## 

### 

#### 

#### COUNT THREE

## Statutory Unfair Competition with Respect to the PINCH YOURSELF Mark (Cal. Bus. & Prof. Code § 17200)

- 39. Plaintiff repeats and realleges each of the allegations contained in paragraphs 1 through 38 of this Complaint as if fully set forth herein.
- 40. Through its unauthorized use of the PINCH YOURSELF mark, as well as by continuing to engage in a willful and concerted effort to trade on Plaintiff's goodwill copying and/or imitating Plaintiff's PINCH YOURSELF mark, Defendant has engaged in unlawful and unfair business acts or practices in violation of Cal. Bus. & Prof. Code § 17200.
- 41. Defendant was, or should have been, aware of Plaintiff's use of and corresponding rights in the PINCH YOURSELF mark. Defendant's acts aforesaid constitute willful and intentional violation of Cal. Bus. & Prof. Code § 17200.
- 42. Defendant's willful and intentional violation of Cal. Bus. & Prof. Code § 17200 has caused and is causing great and irreparable injury and damage to Plaintiff's business and its goodwill and reputation in an amount that cannot be ascertained at this time and, unless preliminarily and permanently restrained, will cause further irreparable injury and damage, leaving Plaintiff with no adequate remedy at law.

- 43. Defendant's acts are the proximate cause of such injury and damage.
- 44. By reason of the foregoing, Plaintiff is entitled to injunctive relief against Defendant, and anyone acting in concert with Defendant, to restrain further violation of Cal. Bus. & Prof. Code § 17200.

#### COUNT FOUR

#### Common Law Infringement of the L'Amore Trade Dress

- 45. Plaintiff repeats and realleges each of the allegations contained in paragraphs 1 through 44 of this Complaint as if fully set forth herein.
- 46. Plaintiff's L'Amore Trade Dress is non-functional and is inherently distinctive or has acquired secondary meaning through its extensive, exclusive use by Plaintiff and its association by purchasers with Plaintiff's goods and services.
- 47. Plaintiff has continuously used the L'Amore Trade Dress in commerce in connection with Plaintiff's goods and services since at least as early as February 7, 2011, and, accordingly, has established common law trade dress rights in the L'Amore Trade Dress.
- 48. Defendant's unauthorized use in commerce of the L'Amore Trade Dress via Defendant's Viejas commercial constitutes infringement of Plaintiff's common law trade dress

rights, misappropriates the valuable goodwill developed by Plaintiff in the L'Amore Trade Dress, and is likely to cause confusion among the relevant consuming public.

- 49. Defendant was, or should have been, aware of Plaintiff's use of and corresponding rights in the L'Amore Trade Dress. Defendant's acts aforesaid, including incorporating trade dress in its Viejas commercial that is identical or, at least, confusingly similar to Plaintiff's L'Amore Trade Dress for identical or substantially similar goods and services, constitutes willful infringement of Plaintiff's rights in the L'Amore Trade Dress.
- 50. Defendant's acts of willful infringement of Plaintiff's rights in the L'Amore Trade Dress have caused and, unless restrained, will continue to cause great and irreparable injury to Plaintiff, Plaintiff's business, and to the goodwill and reputation of Plaintiff in an amount that cannot be ascertained at this time, leaving Plaintiff no adequate remedy at law.
- 51. Defendant's acts are the proximate cause of such injury and damage.
- 52. By reason of the foregoing, Plaintiff is entitled to preliminary and permanent injunctive relief against Defendant, and anyone acting in concert with Defendant, to restrain further

7 8

9

10

11 12

13 14

15 16

17 18

19

20 21

22

23

24

25 26

27

28

acts of infringement of Plaintiff's rights and, after trial, to recover any damages proven to have been caused by reason of Defendant's aforesaid acts of infringement and any enhanced damages justified by the willful and intentional nature of such acts.

#### COUNT FIVE

#### Federal Unfair Competition with Respect to the L'Amore Trade Dress

(15 U.S.C. § 1125)

- each 53. Plaintiff realleges of the repeats and allegations contained in paragraphs 1 through 52 of this Complaint as if fully set forth herein.
- By its knowing and intentional unauthorized imitation, adoption, and use of Plaintiff's L'Amore Trade Dress and/or trade dress which is confusingly similar to Plaintiff's L'Amore Trade Dress in association with Defendant's goods and services through its Viejas Commercial, Defendant has and continues to falsely designate its goods and services as being derived or affiliated with those of the Plaintiff.
- 55. Defendant's use of the L'Amore Trade Dress is likely to cause relevant consumers to mistakenly believe that Defendant has an affiliation with Plaintiff, that Defendant's business is sponsored approved by Plaintiff, or that Defendant or is otherwise associated with or has obtained permission from

Plaintiff to use the L'Amore Trade Dress in connection with the sale of Defendant's goods and services.

- 56. By engaging in the unauthorized activities described above, Defendant has made, and continues to make, false, deceptive, and misleading statements constituting false representations and false advertising made in connection with the sale of goods or services distributed in interstate commerce in violation of Section 43(a) of the Lanham Act, 15 U.S.C. § 1125(a). Furthermore, in view of the notices provided to Defendant by the acts of Plaintiff, such activities were, and remain, willful and intentional.
- 57. Defendant's willful and intentional acts of unfair competition, false advertising, and false designation of origin, have caused and are causing great and irreparable injury and damage to Plaintiff's business and its goodwill and reputation in an amount that cannot be ascertained at this time and, unless preliminarily and permanently restrained, will cause further irreparable injury and damage, leaving Plaintiff with no adequate remedy at law.
- 58. Defendant's acts are the proximate cause of such injury and damage.
- 59. By reason of the foregoing, Plaintiff is entitled to injunctive relief against Defendant, and anyone acting in

2.4

1

3 1 3 3

10

11

8

9

12

14

15

13

16 17

18

1920

2122

2324

2526

27

28

concert with Defendant, to restrain further acts of unfair competition, false advertising, and false designation of origin and, after trial, to recover any damages proven to have been caused by reason of Defendant's aforesaid acts, and to recover enhanced damages based on Defendant's willful, intentional, and/or grossly negligent acts.

#### COUNT SIX

### Unfair Competition with Respect to the L'Amore Trade Dress (Cal. Bus. & Prof. Code § 17200)

- Plaintiff 60. realleges of the repeats and each allegations contained in paragraphs 1 through 59 of this Complaint as if fully set forth herein.
- 61. Through its unauthorized use of the L'Amore Trade Dress, as well as by continuing to engage in a willful and concerted effort to trade on Plaintiff's goodwill by copying and/or imitating Plaintiff's L'Amore Trade Dress, Defendant has engaged in unlawful and unfair business acts or practices in violation of Cal. Bus. & Prof. Code § 17200.
- 62. Defendant's willful and intentional violation of Cal. Bus. & Prof. Code § 17200 has caused and is causing great and irreparable injury and damage to Plaintiff's business and its goodwill and reputation in an amount that cannot be ascertained at this time and, unless preliminarily and permanently

restrained, will cause further irreparable injury and damage, leaving Plaintiff with no adequate remedy at law.

- 63. Defendant's acts are the proximate cause of such injury and damage.
- 64. By reason of the foregoing, Plaintiff is entitled to injunctive relief against Defendant, and anyone acting in concert with Defendant, to restrain further violation of Cal. Bus. & Prof. Code § 17200.

#### COUNT SEVEN

#### Copyright Infringement of the L'Amore #1 Commercial

- 65. Plaintiff repeats and realleges each of the allegations contained in paragraphs 1 through 64 of this Complaint as if fully set forth herein.
- 66. Plaintiff's L'Amore #1 Commercial is an original, independently created, and creative work and is copyrightable under United States law.
- 67. Plaintiff is the sole owner of the copyright in the L'Amore #1 Commercial and has applied and paid the fee for registration of the L'Amore #1 Commercial with the United States Copyright Office.
- 68. Plaintiff's L'Amore #1 Commercial was widely disseminated prior to Defendant's creation of the Viejas

Commercial such that Defendant had access to the L'Amore #1

Commercial at the time it created the Viejas Commercial.

- 69. Defendant's Viejas Commercial contains specific similarities to the L'Amore Expressive Elements of Plaintiff's L'Amore #1 Commercial including similarities in plot, themes, dialogue, mood, setting, pace, characters, and sequence of events; has substantially the same total concept and feel as the L'Amore #1 Commercial; and is accordingly substantially similar to the L'Amore #1 Commercial.
- 70. Because of Defendant's access to the L'Amore #1 Commercial and because of the substantial similarity between Defendant's Viejas Commercial and the L'Amore #1 Commercial, Defendant must have and did copy the L'Amore #1 Commercial and therefore has infringed and continues to infringe Plaintiff's copyright in the L'Amore #1 Commercial.
- should have 71. Defendant was, or been, of aware Plaintiff's copyright in the L'Amore #1 Commercial. Defendant's aforesaid, including its unauthorized copying #1 Commercial through its creation of L'Amore the Viejas Commercial, constitutes willful infringement of Plaintiff's copyright in the L'Amore #1 Commercial.
- 72. Defendant's willful and intentional acts of infringement have caused and are causing great and irreparable

2

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

2.4

25

injury and damage to Plaintiff's business in an amount that cannot be ascertained at this time and, unless preliminarily and permanently restrained, will cause further irreparable injury and damage, leaving Plaintiff with no adequate remedy at law.

- 73. Defendant's acts are the proximate cause of such injury and damage.
- 74. By reason of the foregoing, Plaintiff is entitled to injunctive relief against Defendant, and anyone acting in concert with Defendant, to restrain further acts of infringement and, after trial, to recover any damages proven to have been caused by reason of Defendant's aforesaid acts, and to recover enhanced damages based on Defendant's willful, intentional, and/or grossly negligent acts.

#### COUNT EIGHT

#### Copyright Infringement of the L'Amore #2 Commercial

- 75. Plaintiff repeats and realleges each of the allegations contained in paragraphs 1 through 74 of this Complaint as if fully set forth herein.
- 76. Plaintiff's L'Amore #2 Commercial is an original, independently created, and creative work and is copyrightable under United States law.
- 77. Plaintiff is the sole owner of the copyright in the L'Amore #2 Commercial and has applied for and paid the fee for

registration of the  $L^\prime$ Amore #2 Commercial with the United States Copyright Office.

- 78. Plaintiff's L'Amore #2 Commercial was widely disseminated prior to Defendant's creation of the Viejas Commercial such that Defendant had access to the L'Amore #2 Commercial at the time it created the Viejas Commercial.
- 79. Defendant's Viejas Commercial contains specific similarities to the L'Amore Expressive Elements of Plaintiff's L'Amore #2 Commercial including similarities in plot, themes, dialogue, mood, setting, pace, characters, and sequence of events; has substantially the same total concept and feel as the L'Amore #2 Commercial; and is accordingly substantially similar to the L'Amore #2 Commercial.
- 80. Because of Defendant's access to the L'Amore #2 Commercial and because of the substantial similarity between Defendant's Viejas Commercial and the L'Amore #2 Commercial, Defendant must have and did copy the L'Amore #2 Commercial and therefore has infringed and continues to infringe Plaintiff's copyright in the L'Amore #2 Commercial.
- 81. Defendant was, or should have been, aware of Plaintiff's copyright in the L'Amore #2 Commercial. Defendant's acts aforesaid, including its unauthorized copying of the

2.4

L'Amore #2 Commercial, constitutes willful infringement of Plaintiff's copyright in the L'Amore #2 Commercial.

- 82. Defendant's willful and intentional acts of infringement have caused and are causing great and irreparable injury and damage to Plaintiff's business in an amount that cannot be ascertained at this time and, unless preliminarily and permanently restrained, will cause further irreparable injury and damage, leaving Plaintiff with no adequate remedy at law.
- 83. Defendant's acts are the proximate cause of such injury and damage.
- 84. By reason of the foregoing, Plaintiff is entitled to injunctive relief against Defendant, and anyone acting in concert with Defendant, to restrain further acts of infringement and, after trial, to recover any damages proven to have been caused by reason of Defendant's aforesaid acts, and to recover enhanced damages based on Defendant's willful, intentional, and/or grossly negligent acts.

#### RELIEF REQUESTED

WHEREFORE, Plaintiff SPCDG respectfully prays for the following relief:

A. A preliminary and permanent nationwide injunction enjoining Defendant, its employees, agents, officers, directors, attorneys, representatives, successors, affiliates, subsidiaries

and assigns, and all those in concert or participation with any of them, from:

- a. imitating, copying, using, reproducing, registering, attempting to register and/or displaying the mark and designation PINCH YOURSELF, or any mark or designation which colorably imitates or is confusingly similar to this mark and designations, including, without limitation, PINCH YOURSELF alone or in combination with any other term(s), word(s), name(s), logo(s), symbol(s), device(s), designation(s) and/or design(s) in any manner whatsoever;
- b. using any other false description or representation or any other things calculated or likely to cause confusion, deception, or mistake in the marketplace with regard to Plaintiff's PINCH YOURSELF mark;
- c. airing its Viejas Commercial, or any other commercial that infringes the L'Amore Trade Dress or Plaintiff's copyrights in the L'Amore Commercials;
- d. airing any commercial calculated or likely to cause confusion, deception, or mistake in the marketplace with regard to the L'Amore Commercials; and
- e. using any other false description or representation or any other things calculated or likely to

cause confusion, deception, or mistake in the marketplace with regard to the L'Amore Trade Dress;

- B. An order directing Defendant to deliver up for impoundment and destruction all materials and matter in its possession or custody or under its control that infringe Plaintiff's trademark, trade dress, and copyrights, including, without limitation, all of Defendant's marketing materials bearing the PINCH YOURSELF mark and all copies of Defendant's Viejas Commercial;
- C. An order directing that Defendant file with the Court and serve upon counsel for Plaintiff within thirty (30) days after the entry of such order or judgment, a report in writing and under oath setting forth in detail the manner and form in which Defendant has complied with the injunction(s);
- D. An award of damages, in an amount to be proven at trial, for the Defendant's infringement of Plaintiff's following intellectual property rights, the damages including Plaintiff's actual damages, Defendant's profits attributable to the infringement, Plaintiff's costs including a reasonable attorneys' fee, and any enhancements the Court finds reasonable:
  - a. The PINCH YOURSELF mark;
  - b. The L'Amore Trade Dress; and
  - c. The copyright of the L'Amore Commercials;

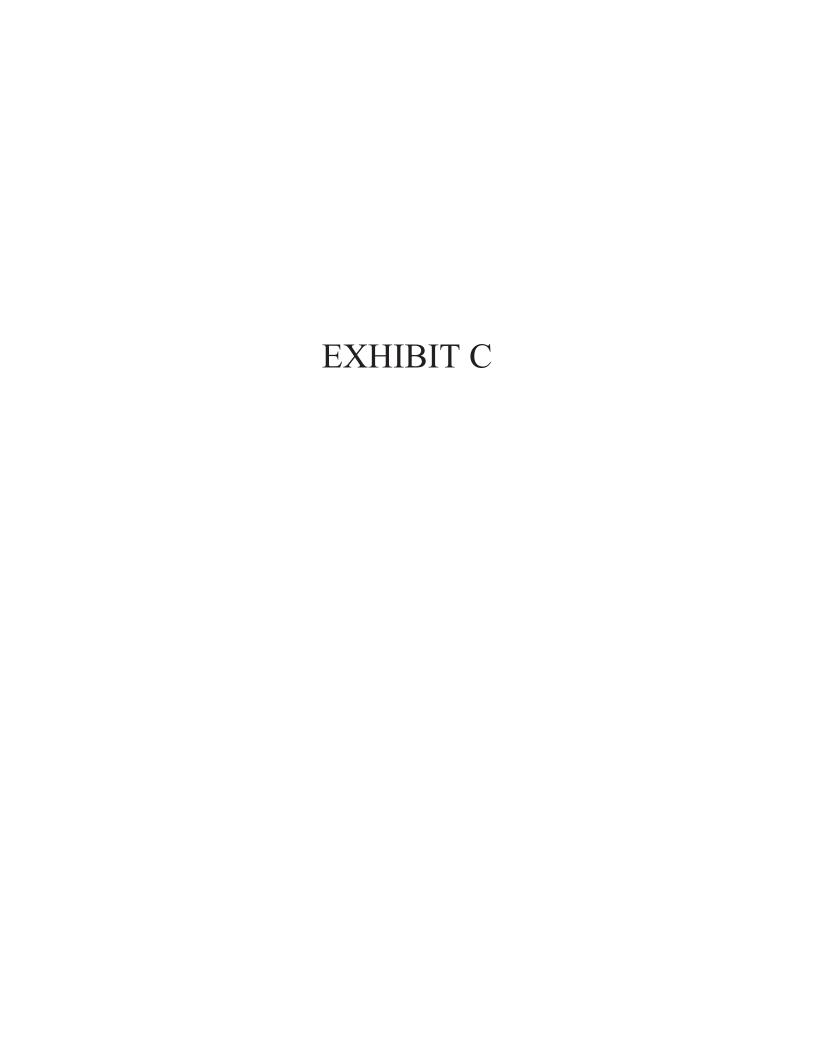
1	E. An award of damages to compensate for Defendant's
2	unfair competition in an amount to be proven at trial, including
3	Plaintiff's actual damages and Defendant's profits attributable
4	to the unfair competition;
5	F. An order awarding Plaintiff punitive damages on
6 7	account of Defendant's willful violations of law;
8	G. An order awarding Plaintiff prejudgment and post
9	judgment interest;
10	H. An order for corrective advertising in a form, manner,
11	and frequency that is acceptable to Plaintiff and the Court; and
12	I. All other relief, in law or in equity, to which
13	Plaintiff may be entitled, or which the Court deems just and
14	proper.
15	brober.
16	Respectfully,
17	Vista IP Law Group LLP
18	August 29, 2011 by: s/Neal M. Cohen
19	Attorneys for Plaintiff SAN PASQUAL CASINO DEVELOPMENT
21	GROUP INC., an enterprise fund of the San Pasqual Band of Mission
22	Indians, a Federally-Recognized Indian Tribe
23	
24	
25	
26	
27	33
28	

1	JURY DEMAND
2	Pursuant to F.R.Civ.P. Rule 38(b), and L.R. 38.1, Plaintiff
3	demands a jury trial on all issues triable to a jury.
4	
5	Respectfully,
6	Vista IP Law Group LLP
7	August 29, 2011 by: s/Neal M. Cohen
8	Attorneys for Plaintiff SAN PASQUAL CASINO DEVELOPMENT
9	GROUP INC., an enterprise fund of the San Pasqual Band of Mission
10	Indians, a Federally-Recognized Indian Tribe
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	34
28	

SJS 44 (Rev. 12/07)

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THE FORM.)

the civil docket sheet. (SEE II	NSTRUCTIONS ON THE REVERSE OF THE FORM.)	1		
I. (a) PLAINTIFFS		DEFENDANTS		
	O DEVELOPMENT GROUP INC., a an Pasqual Band of Mission Indians		OF KUMEYAAY INDIA	•
(b) County of Residence	of First Listed Plaintiff San Diego, CA	_	of First Listed Defendant	
	XCEPT IN U.S. PLAINTIFF CASES)		(IN U.S. PLAINTIFF CASES	ONLY)
			ND CONDEMNATION CASES, US	SE THE LOCATION OF THE
		LAND	'INVOLVED.	83 JAH POR
(c) Attorney's (Firm Name	e, Address, and Telephone Number)	Attorneys (If Known)		
Neal M. Cohen 949.72				
	P, 2040 Main St., #710 Irvine, CA 93			
II. BASIS OF JURISI	OICTION (Place an "X" in One Box Only)	(For Diversity Cases Only)	PRINCIPAL PARTIES	(Place an "X" in One Box for Plaintiff and One Box for Defendant)
☐ 1 U.S. Government Plaintiff	■ 3 Federal Question (U.S. Government Not a Party)	i i	PTF DEF  1 1 Incorporated or Pr of Business In Thi	PTF DEF rincipal Place
☐ 2 U.S. Government Defendant	☐ 4 Diversity  (Indicate Citizenship of Parties in Item III)	Citizen of Another State	2	
	(indicate Chizenship of Fattles in Rein H)	Citizen or Subject of a Foreign Country	□ 3 □ 3 Foreign Nation	□ 6 □ 6
IV. NATURE OF SUI	T (Place an "X" in One Box Only)	1 oroga country		
CONTRACT	TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES
☐ 110 Insurance ☐ 120 Marine ☐ 130 Miller Act	PERSONAL INJURY  □ 310 Airplane □ 315 Airplane Product  PERSONAL INJURY □ 362 Personal Injur Med. Malprac	y - ☐ 620 Other Food & Drug tice ☐ 625 Drug Related Seizure	☐ 422 Appeal 28 USC 158 ☐ 423 Withdrawal 28 USC 157	☐ 400 State Reapportionment ☐ 410 Antitrust ☐ 430 Banks and Banking
☐ 140 Negotiable Instrument☐ 150 Recovery of Overpayment	Liability		PROPERTY RIGHTS	☐ 450 Commerce ☐ 460 Deportation
& Enforcement of Judgment	Slander   368 Asbestos Perso	onal G 640 R.R. & Truck	☐ 820 Copyrights	☐ 470 Racketeer Influenced and
☐ 151 Medicare Act☐ 152 Recovery of Defaulted☐	☐ 330 Federal Employers' Injury Product Liability Liability	d 650 Airline Regs. ☐ 660 Occupational	☐ 830 Patent  ■ 840 Trademark	Corrupt Organizations  480 Consumer Credit
Student Loans (Excl. Veterans)	□ 340 Marine PROPERSONAL PROPER □ 345 Marine Product □ 370 Other Fraud	Safety/Health  690 Other		<ul><li>□ 490 Cable/Sat TV</li><li>□ 810 Selective Service</li></ul>
☐ 153 Recovery of Overpayment	Liability	ng LABOR	SOCIAL SECURITY	□ 850 Securities/Commodities/
of Veteran's Benefits  ☐ 160 Stockholders' Suits	☐ 350 Motor Vehicle ☐ 380 Other Personal ☐ 355 Motor Vehicle Property Dama		☐ 861 HIA (1395ff) ☐ 862 Black Lung (923)	Exchange  875 Customer Challenge
☐ 190 Other Contract	Product Liability 385 Property Dama	age 720 Labor/Mgmt. Relations	☐ 863 DIWC/DIWW (405(g))	12 USC 3410
☐ 195 Contract Product Liability☐ 196 Franchise	☐ 360 Other Personal Product Liabili Injury	ity 730 Labor/Mgmt.Reporting & Disclosure Act	☐ 864 SSID Title XVI ☐ 865 RSI (405(g))	☐ 890 Other Statutory Actions ☐ 891 Agricultural Acts
REAL PROPERTY  ☐ 210 Land Condemnation	CIVIL RIGHTS         PRISONER PETITI           □ 441 Voting         □ 510 Motions to Var		FEDERAL TAX SUITS  ☐ 870 Taxes (U.S. Plaintiff	□ 892 Economic Stabilization Act □ 893 Environmental Matters
☐ 220 Foreclosure	☐ 442 Employment Sentence	☐ 791 Empl. Ret. Inc.	or Defendant)	☐ 894 Energy Allocation Act
☐ 230 Rent Lease & Ejectment☐ 240 Torts to Land☐	☐ 443 Housing/ Accommodations ☐ 530 General	Security Act	☐ 871 IRS—Third Party 26 USC 7609	☐ 895 Freedom of Information Act
245 Tort Product Liability	☐ 444 Welfare ☐ 535 Death Penalty	IMMIGRATION	20 030 7007	☐ 900Appeal of Fee Determination
☐ 290 All Other Real Property	Employment 540 Mandamus & C	Other 462 Naturalization Application 463 Habeas Corpus -	n	Under Equal Access to Justice
	☐ 446 Amer. w/Disabilities - ☐ 555 Prison Condition			☐ 950 Constitutionality of
	Other  440 Other Civil Rights	☐ 465 Other Immigration Actions		State Statutes
<b>№</b> 1 Original	an "X" in One Box Only) emoved from	Reonened anoth	sferred from and a fistrict of the state of	Magistrate
	Cite the U.S. Civil Statute under which you 15 USC 1125			Judgment
VI. CAUSE OF ACTI	ON Brief description of cause: I rademark Intringement		•	
VII. REQUESTED IN	S	ON DEMAND \$	CHECK YES only	if demanded in complaint:
COMPLAINT:	UNDER F.R.C.P. 23		JURY DEMAND	: <b>Ø</b> Yes □ No
VIII. RELATED CAS IF ANY	SE(S) (See instructions): JUDGE		DOCKET NUMBER	
DATE	SIGNATURE OF A	ATTORNEY OF RECORD		
08/29/2011	s/Neal M. Co	hen		
FOR OFFICE USE ONLY				
RECEIPT#	AMOUNT APPLYING IFP	JUDGE	MAG. JU	DGE



HIGGS, FLETCHER & MACK LLP ATTORNEYS AT LAW SAN DIEGO

1039432.1

Case No. 11cv1983 JAH POR

#### Case 3:11-cv-01983-JAH-POR Document 12-1 Filed 11/07/11 Page 2 of 16

1		TABLE OF CONTENTS	
2			Page
3	I. II.	SUMMARYFACTS	
5	III.	DISCUSSION	3
6 7		A. Rule 12(b)(1) Motion to Dismiss  B. The Viejas Band is a Federally Recognized Indian Tribe	
8 9		C. The Court lacks jurisdiction over the Viejas Band  D. The Band Is Immune from Plaintiff's Intellectual Property Claims	4
10		E. Sovereign immunity extends to Viejas Enterprises and the Tribal Officers	
11 12		F. Plaintiff's assertion that it may proceed with its claims against the Individual Defendants under the Ex Parte Young doctrine is incorrect	
13	IV.	CONCLUSION	11
14			
<ul><li>15</li><li>16</li></ul>			
17			
18			
19			
20			
21			
22			
23			
24			
25			
26			
27			
28			

HIGGS, FLETCHER & MACK LLP ATTORNEYS AT LAW SAN DIEGO

#### TABLE OF AUTHORITIES 1 2 **Page** 3 Cases 4 Allen v. Gold Country Casino 5 American Vantage Cos. v. Table Mt. Rancheria 6 7 Baker v. United States 8 Bassett v. Mashantucket Pequot Tribe (Bassett I) 9 10 Bassett v. Masshantucket Pequot Museum & Research Ctr. (Bassett II) 11 Burlington & Santa Fe RY, Co. v. Vaughn 12 13 BV Engineering v University of California 14 Cook v. Avi Casino Enterprises, Inc. 15 16 Demontiney v. U.S. ex rel. Dept. of Interior, Bureau of Indian Affairs 17 Frazier v. Turning Stone Casino 18 19 Hardin v. White Mountain Apache Tribe 20 Home Bingo Network v. Multimedia Games, Inc. 21 22 Imperial Granite Co. v. Pala Band of Mission Indians 23 Kescoli v. Babbitt 24 25 Kiowa Tribe v. Manufacturing Techs. 26 Kokkonen v. Guardian Life Ins. Co. of America 27 28

HIGGS, FLETCHER & MACK LLP ATTORNEYS AT LAW SAN DIEGO

#### Case 3:11-cv-01983-JAH-POR Document 12-1 Filed 11/07/11 Page 4 of 16

1	TABLE OF AUTHORITIES	
2	(continued) Page	
3	Krystal Energy Co. v. Navajo Nation 357 F.3d 1055 (9th Cir.2003)	
4		
5	Larson v. Domestic & Foreign Commerce Corp. 337 U.S. 682 (1949)9	
6	Linneen v. Gila River Indian Community 276 F.3d 489 (9th Cir.2001)8	
7 8	Lolley v. Campbell 28 Cal. 4th 367 (2002)	
9	Marceau v. Blackfeet Hous. Auth. 455 F.3d 974 (9th Cir.2006)	
10 11	McClendon v. United States 885 F.2d 627 (9th Cir.1989)	
12	Multimedia Games, Inc. v. WLGC Acquisition Corp. 214 F. Supp. 2d 1131 (N.D. Okla. 2001)	
<ul><li>13</li><li>14</li></ul>	Oklahoma Tax Comm'n v. Citizen Band Potawatomi Indian Tribe 498 U.S. 505 (1991)4	
15	Pan Am. Co. v. Sycuan Band of Mission Indians 884 F.2d 416 (9th Cir.1989)4	
<ul><li>16</li><li>17</li></ul>	Pink v. Modoc Indian Health Project 157 F.3d 1185 (9th Cir.1998)	
18	Pitt River Home and Agricultural Cooperative Association v. United States 30 F.2d 1088 (9th Cir. 1994)	
<ul><li>19</li><li>20</li></ul>	Puyallup Tribe, Inc. v. Department of Game of Washington 433 U.S. 165 (1977)	
21	Quileute Indian Tribe v. Babbitt	
22	18 F.3d 1456 (9th Cir.1994)	
23	Regents of the University of California v. Doe 519 U.S. 425 (1997)	
24	Rogers-Dial v. Rincon Band of Luiseno Indians No. 10CV2656-WQH-POR, 2011 WL 2619232 (S.D. Cal. July 1, 2011)	
<ul><li>25</li><li>26</li></ul>	Santa Clara Pueblo v. Martinez 436 U.S. 49 (1978)	
27	Scholder v. United States	
28	428 F.2d 1123 (9th Cir. 1970)	
20 p		

HIGGS, FLETCHER & MACK LLP
ATTORNEYS AT LAW
SAN DIEGO

#### Case 3:11-cv-01983-JAH-POR Document 12-1 Filed 11/07/11 Page 5 of 16

1	TABLE OF AUTHORITIES (continued)
2	Page
3	Shermoen v. United States 982 F.2d 1312 (9th Cir. 1992)
4	Snow v. Quinault Indian Nation
5	709 F.2d 1319 (9th Cir.1983)
6	St. Clair v. City of Chico 880 F.2d 199 (9th Cir. 1989)
7 8	Stock West Corp. v. Lujan 982 F.2d 1389 (9th Cir.1993)
9	Stock West, Inc. v. Confederated Tribes 873 F.2d 1221 (9th Cir. 1989)
10	Thornhill Publishing Co. v. General Tel & Elect. 594 F.2d 730 (9th Cir. 1979)
11	
12	Trudgeon v. Fantasy Springs Casino 71 Cal.App.4th 632 (1999)9
13 14	United States v. Oregon 657 F.2d 1009 (9th Cir.1981)
15	W. Shoshone National Council v. United States 408 F.Supp.2d 1040 (D. Nev. 2005)
16	Statutes
17	15 U.S.C. §1121
18	17 U.S.C. § 511
19	28 U.S.C. §1331
20	28 U.S.C. §1338(a)
21	28 U.S.C. §1362
22	Fed. R. Civ. P. 12(b)
23	
24	
25	
26	
27	
28	
ER	Cone No. 11 cv 1002 IALL DOD

HIGGS, FLETCHE & MACK LLP ATTORNEYS AT LAW San Diego

2

3 4

5

6

7

8 9

10

11

12 13

15 16

14

17 18

19 20

21 22

23

24

25 26

27

28

Specially-appearing Defendants, Viejas Band of Kumeyaay Indians, a federally recognized Indian tribe (hereinafter the "Viejas Band" or the "Band"), also erroneously sued herein as "Viejas Enterprises, Inc." (hereinafter "Viejas Enterprises"), and its tribal officers, Tribal Chairman, Anthony R. Pico, Tribal Council Members, Robert Cita Welch, Anita Uqualla, Sam Q. Brown, Greybuck S. Espinoza, Victor E. Woods and Raymond Bear Cuero, and its casino managers, Chris Kelley and Vince Manfredi (hereinafter collectively referred as the "Tribal Officers") respectfully submit the following memorandum of points and authorities in support of their motion to dismiss for lack of subject matter jurisdiction.

I.

#### **SUMMARY**

Under Federal law, Indian tribes are recognized as distinct, sovereign governments which are immune from suit under the long-established doctrine of tribal sovereign immunity. This doctrine is based upon the United States' strong policy of protecting tribal sovereignty and promoting tribal self-governance. Although a tribe may waive its immunity, such a waiver is effective only if unequivocally expressed.

The Viejas Band owns and operates the Viejas Casino, located approximately 40 minutes east of San Diego in Alpine, California. "Viejas Enterprises" is an arm of the Viejas Band which manages the day to day affairs of the Band's casino and other businesses—it is not a separate entity. Defendant Anthony R. Pico is the Chairman of the Band's Tribal Council. Defendants Robert Cita Welsch, Anita Uqualla, Sam Q. Brown, Greybuck S. Espinoza, Victor E. Woods and Raymond Bear Cuero are members of the Band's Tribal Council. Defendant Vince Manfredi is the Vice President of Marketing for the Band's casino. Defendant Chris Kelley is the General Manager of the Band's casino.

HIGGS, FLETCHER

SAN DIEGO

Plaintiff San Pasqual Casino Development Group, Inc. ("Plaintiff") operates Valley View Casino, located approximately 1 hour north of San Diego in Valley Center, California. In this case, Plaintiff mistakenly alleges in its First Amended Complaint (the "Complaint") that the Viejas Band's "Pinch Yourself" print advertisements and its "Are You Ready To Play?" television commercial infringe Plaintiff's alleged trademark and copyright. The Band denies these allegations.

In the instant motion, the Viejas Band asks the court to uphold federal law and protect its sovereignty. The Viejas Band is immune from this lawsuit under federal law, and has not waived tribal immunity in this case. Moreover, tribal immunity extends to Viejas Enterprises and its Tribal Officers. Thus, the court lacks subject matter jurisdiction. Based on the foregoing, the Band respectfully requests that the court grant this motion and dismiss all claims against the Band, Viejas Enterprises and the Tribal Officers, pursuant to FRCP 12(b)(1).

#### **FACTS**

II.

The Viejas Band is a federally-recognized Indian tribe residing on a reservation in San Diego County. (Complaint, ¶7.) The Band is identified on the Federal Register listing of federally-recognized tribes as the Capitan Grande Band of Diegueno Mission Indians of California: Viejas (Baron Long) Group of Capitan Grande Band of Mission Indians of the Viejas Reservation, California. See accompanying Declaration of Anthony R. Pico ("Pico Decl."), ¶2, and the Federal Register listing, **Exhibit A** to the accompanying Request for Judicial Notice.

The Tribal Council is the governing body of the Band. (Pico Decl., ¶ 3.) The Band established Viejas Enterprises to promote the Band's general welfare by managing the day to day affairs of Band's casino and other business interests. Viejas Enterprises is an arm of the Band—it is not incorporated or otherwise organized under the laws of any state. (Pico Decl., ¶ 4).

1039432.1

Defendants Robert Cita Welsh, Anita Esqualla, Sam Q. Brown, Greybuck S. Espinoza, Victor E. Woods, and Raymond Cuero are members of the Viejas Tribal Council. (Complaint, ¶10.) Defendant Vince Manfredi is Vice President of Marketing for Viejas Casino. (Complaint, ¶11.) Defendant Chris Kelley is the General Manager of Viejas Casino. (Complaint, ¶12.) *Each of the individual defendants is being sued in this case for acts, practices, or conduct "carried out in his or her official capacity."* (Complaint, ¶13.)

The Band has not waived its sovereign immunity related to the events or parties at issue in this lawsuit. (Pico Decl., ¶ 5.)

1039432.1

III.

#### **DISCUSSION**

#### A. Rule 12(b)(1) Motion to Dismiss

"A motion to dismiss for lack of subject matter jurisdiction may either attack the allegations of the complaint or may be made as a 'speaking motion' attacking the existence of subject matter jurisdiction in fact." *Thornhill Publishing Co. v. General Tel & Elect.*, 594 F.2d 730, 733 (9th Cir. 1979); see also Fed. R. Civ. P. 12(b)(1). "Unlike a Rule 12(b)(6) motion, a Rule 12(b)(1) motion can attack the substance of a complaint's jurisdictional allegations despite their formal sufficiency, and in doing so rely on affidavits or any other evidence properly before the court." *St. Clair v. City of Chico*, 880 F.2d 199, 201 (9th Cir. 1989). Thus, the existence of disputed material facts will not preclude the trial court from evaluating for itself the merits of jurisdictional claims. *Id*.

Where the defendant brings the motion as a "speaking motion" presenting a factual challenge to subject matter jurisdiction, the Court may consider extrinsic evidence on whether jurisdiction exists and may resolve factual disputes if necessary. *Thornhill*, 594 F.2d at 733. Because the plaintiff bears the burden of establishing subject matter jurisdiction, no presumption of truthfulness attaches to the allegations of plaintiff's complaint and the Court must presume it lacks

jurisdiction until plaintiff establishes jurisdiction. *Kokkonen v. Guardian Life Ins. Co. of America*, 511 U.S. 375, 377, 114 S.Ct. 1673, 1675 (1994); and *Stock West, Inc. v. Confederated Tribes*, 873 F.2d 1221, 1225 (9th Cir. 1989).

An objection to subject matter jurisdiction based upon sovereign immunity may be asserted by the parties at any time or by the court *sua sponte*. *Pitt River Home and Agricultural Cooperative Association v. United States*, 30 F.2d 1088, 1100 (9<sup>th</sup> Cir. 1994). Based on the foregoing, this motion to dismiss is procedurally sound and proper.

#### B. The Viejas Band is a Federally Recognized Indian Tribe

The Viejas Band is a federally recognized Indian tribe. See Complaint, ¶7; and **Exhibit A** to the Req. for Jud. Notice (Federal Register listing of tribes).

#### C. The Court lacks jurisdiction over the Viejas Band

"Suits against Indian tribes are ... barred by sovereign immunity absent a clear waiver by the tribe or congressional abrogation." *Oklahoma Tax Comm'n v. Citizen Band Potawatomi Indian Tribe*, 498 U.S. 505, 509 (1991); *Snow v. Quinault Indian Nation*, 709 F.2d 1319, 1321 (9th Cir.1983). Tribal sovereign immunity deprives a court of subject matter jurisdiction. See *Pitt River Home & Ag. Coop. Ass'n v. United States*, 30 F.3d 1088, 1100 (9th Cir.1994); *Pan Am. Co. v. Sycuan Band of Mission Indians*, 884 F.2d 416, 418 (9th Cir.1989). "There is a strong presumption against waiver of tribal sovereign immunity." *Demontiney v. U.S. ex rel. Dept. of Interior, Bureau of Indian Affairs*, 255 F.3d 801, 811 (9th Cir. 2001). Waiver of sovereign immunity by a tribe must be unequivocally expressed and may not be implied. *Kescoli v. Babbitt*, 101 F.3d 1304, 1310 (9th Cir.1996); *Quileute Indian Tribe v. Babbitt*, 18 F.3d 1456, 1459 (9<sup>th</sup> Cir.1994); *McClendon v. United States*, 885 F.2d 627, 629 (9th Cir.1989); *Snow*, 709 F.2d at 1321. *Similarly, congressional abrogation of sovereign immunity may not be implied and must be "unequivocally expressed" in "explicit legislation." Krystal Energy* 

1039432.1

Co. v. Navajo Nation, 357 F.3d 1055, 1056 (9th Cir.2003); Demontiney, 255 F.3d at

811. Plaintiffs bear the burden of demonstrating there was an express and 1 unequivocal waiver of tribal sovereign immunity. See Baker v. United States, 817 2 F.2d 560, 562 (9th Cir.1987); Breakthrough Mgmt. Group, Inc. v. Chukchansi Gold 3 4 Casino & Resort, 2007 WL 2701995 \*2 (D.Colo.2007). Absent an express and unequivocal waiver of immunity by the tribe or abrogation of tribal immunity by 5 6 Congress, tribes cannot be sued. Stock West Corp. v. Lujan, 982 F.2d 1389, 1398 (9th Cir.1993). 7 Tribal sovereign immunity applies in both federal and state courts. See Santa 8 9 Clara Pueblo v. Martinez, 436 U.S. 49, 68 (1978); Puyallup Tribe, Inc. v. Department of Game of Washington, 433 U.S. 165, 171-73 (1977); Snow, 709 F.2d 10 at 1321; United States v. Oregon, 657 F.2d 1009, 1012-13 (9th Cir.1981). "The 11 immunity ... extends to suits for declaratory and injunctive relief," and "is not 12 defeated by an allegation that [the tribe] acted beyond its powers." *Imperial* 13 Granite Co. v. Pala Band of Mission Indians, 940 F.2d 1269, 1271 (9th Cir.1991). 14 Tribal sovereign immunity is not dependent on a distinction between on-reservation 15 16 and off-reservation conduct nor upon a distinction between the governmental and commercial activities of a tribe. Kiowa Tribe v. Manufacturing Techs., 523 U.S. 17 751, 754-55, 759-60 (1998); Allen v. Gold Country Casino, 464 F.3d 1044, 1046 18 19 (9th Cir. 2006); American Vantage Cos. v. Table Mt. Rancheria, 292 F.3d 1091, 1100 (9th Cir.2002); Bassett v. Mashantucket Pequot Tribe, 204 F.3d 343, 357 (2d 20 Cir.2000) (Bassett I). A tribe's sovereign immunity extends both to tribal 21 governing bodies and to tribal agencies which act as an arm of the tribe. See Allen, 22 23 464 F.3d at 1046; see also *Marceau v. Blackfeet Hous. Auth.*, 455 F.3d 974, 978 (9th Cir.2006); Pink v. Modoc Indian Health Project, 157 F.3d 1185, 1188 (9th 24 Cir. 1998). Thus, it is irrelevant whether the particular tribal entity is conducting 25 26 business activities because the appropriate question is whether the particular "entity acts as an arm of the tribe so that the entity's activities are properly deemed to be 27 those of the tribe." *Allen*, 464 F.3d at 1046. 28

1039432.1

As the Ninth Circuit recognized in *Shermoen v. United States*, the proper enforcement of tribal immunity from suit will often deprive a claimant a forum in which to have some of its grievances heard. Such results simply show that "Congress' authority over Indian matters is extraordinarily broad, and the role of courts in adjusting relations between and among tribes and their members correspondingly restrained." *Shermoen v. United States*, 982 F.2d 1312, 1320-21 (9th Cir. 1992), quoting *Santa Clara Pueblo v. Martinez*, 436 U.S. 49, 72, 56 L. Ed. 2d 106, 98 S. Ct. 1670 (1978).

#### D. The Band Is Immune from Plaintiff's Intellectual Property Claims

Tribal immunity applies equally to intellectual property claims. At least three courts have examined whether tribes are subject to suit for infringement of copyrights and patents, and all three have answered in the negative. See *Bassett I*, supra, 204 F.3d at 357 (holding that "nothing on the face of the Copyright Act purports to subject tribes to jurisdiction of the federal courts in civil actions brought by private parties ... and a congressional abrogation of tribal immunity cannot be implied"); Multimedia Games, Inc. v. WLGC Acquisition Corp., 214 F. Supp. 2d 1131, 1135 (N.D. Okla. 2001) ("Where the language of a federal statute does not explicitly assert jurisdiction over tribal entities or implicitly by including tribes in the definition of parties subject to the suit, courts find the language insufficient to express an unequivocal congressional abrogation of tribal sovereign immunity . . . this Court finds that the text of the Copyright Act of 1976 and the accompanying legislative history of the statute did not affirmatively contemplate the inclusion of Indian tribes"); and *Home Bingo Network v. Multimedia Games, Inc.*, No. 05 Civ. 0608, 2005 U.S. Dist. LEXIS 34238 (N.D.N.Y. Aug. 30, 2005). See also *Frazier v*. Turning Stone Casino, 254 F.Supp. 2d 295, 307-310 (N.D.N.Y. 2003) (held that plaintiff could not maintain an action against the tribal defendants for misappropriation of plaintiff's image and likeness for advertising purposes).

1039432.1

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

Moreover, although Congress passed the Copyright Remedy Clarification Act in 1990 which attempted to extend liability under the Copyright Act to states, or any instrumentality of a state, and any officer or employee of a state, acting in his or her official capacity, declaring that such persons shall not be immune under the Eleventh Amendment to the Constitution from copyright claims (17 U.S.C. § 511), Congress has not attempted to extend liability under the Copyright Act to Indian tribes and its officers. Indeed, Congress passed the Copyright Remedy Clarification Act in response to circuit court rulings, including a Ninth Circuit ruling, which held that there is no language in Copyright Act of 1976 indicating any intent to subject states to suit in federal court for copyright infringement. See, e.g., BV Engineering v University of California, 858 F.2d 1394, 8 USPQ2d 1421 (9<sup>th</sup> Cir. 1988), cert den (1989) 489 US 1090, 103 L Ed 2d 859, 109 S Ct 1557. Thus, it is clear under Ninth Circuit case law that sovereign entities will not be liable for copyright infringement, unless and until Congress passes a statute stating so. To date, Congress has not done so with Indian tribes.

In all events, as stated in the previous section, *congressional abrogation of* sovereign immunity may not be implied and must be "unequivocally expressed" in "explicit legislation." Krystal Energy Co. v. Navajo Nation, 357 F.3d 1055, 1056 (9th Cir.2003); Demontiney, 255 F.3d at 811. See also Santa Clara Pueblo, 436 U.S. at 58, 98 S.Ct. at 1676 (holding that a waiver of sovereign immunity may not be implied, but must be unequivocally expressed).

Again, in this case, Plaintiff admits in its Complaint that the Viejas Band is a federally-recognized Indian tribe. (Complaint, ¶6.) Moreover, Plaintiff does not even allege that the Band has waived its immunity from suit in this case or that congress has abrogated the Viejas Band's immunity. Rather, it summarily asserts that the court has subject matter jurisdiction pursuant to 15 U.S.C. §1121 and 28 U.S.C. §§1331, 1338(a), and 1362—none of which diminish, rescind or otherwise weaken the Viejas Band's immunity from suit. All of these statutes are general,

1039432.1

jurisdictional statutes which do not mention tribal immunity. Only 28 U.S.C. §1362 mentions Indian tribes—but it certainly does not rescind or diminish tribal immunity. See *Scholder v. United States*, 428 F.2d 1123 (9<sup>th</sup> Cir. 1970) ("The purpose of section 1362 was to eliminate the \$10,000 jurisdictional requirement of 28 U.S.C. §1331 for a particular class of suits, namely, federal-question actions brought by an Indian tribe or band. [Citation omitted.] Nothing on the face of section 1362 indicates an intention by Congress to waive sovereign immunity, and we know nothing in its legislative history to suggest such a purpose") *cert. denied* 400 U.S. 942 (1970); and *W. Shoshone National Council v. United States*, 408 F.Supp.2d 1040 (D. Nev. 2005).

For these reasons, it is beyond dispute that the Band is immune from suit.

### E. Sovereign immunity extends to Viejas Enterprises and the Tribal Officers.

A tribe's sovereign immunity extends both to tribal governing bodies and to tribal agencies which act as an arm of the tribe, as well as to agents of an Indian tribe acting in their representative capacity and within the scope of their authority. *Allen*, 464 F.3d at 1046; *Pink v. Modoc Indian Health Project*, 157 F.3d 1185, 1188 (9th Cir.1998); *Linneen v. Gila River Indian Community*, 276 F.3d 489, 492 (9th Cir.2001); *Hardin v. White Mountain Apache Tribe*, 779 F.2d 476, 479 (9th Cir.1985); *Snow*, 709 F.2d at 1322. *Tribal sovereign immunity extends to employees of a tribe acting within the scope of their authority. Cook v. Avi Casino Enterprises, Inc.*, 548 F.3d 718, 727(9<sup>th</sup> Cir.2008). *Where plaintiffs allege no viable claim that tribal officials acted outside their authority, tribal immunity applies.* See *Imperial Granite Co. v. Pala Band of Mission Indians*, 940 F.2d 1269, 1271 (9th Cir.1991). The commission of a tort is not per se an act in excess of authority. See, e.g., *Larson v. Domestic & Foreign Commerce Corp.*, 337 U.S. 682, 682-688 (1949); see also *Trudgeon v. Fantasy Springs Casino*, 71 Cal.App.4<sup>th</sup> 632, 644 (1999).

ATTORNEYS AT LAW SAN DIEGO Indeed, the Ninth Circuit in a unanimous decision recently confirmed that tribal immunity extends tribal employees acting within the scope of their authority. In *Cook, supra,* the Ninth Circuit reaffirmed that, in these cases the sovereign entity is the "real, substantial party in interest and is entitled to invoke its sovereign immunity from suit even though individual officers are nominal defendants" and that that sovereign immunity cannot be avoided by simply naming employees of the sovereign as defendants. *Cook,* supra, 548 F.3d at 727, *citing Regents of the University of California v. Doe,* 519 U.S. 425, 429 (1997).

Likewise, in *Frazier v. Turning Stone Casino*, 254 F.Supp. 2d 295, 307-310 (N.D.N.Y. 2003), relying upon well-established precedent and after careful consideration, the District Court held that tribal immunity extended to all tribal employees acting within their representative capacity and within the scope of their authority, such that the plaintiff could not pursue claims against the individual employees of the tribe.

In this case, *Plaintiff admits that the "Individual Defendants" are being suing for "acts, practices, or conduct carried out in his or her official capacity."*(Complaint, ¶13.) Based on the foregoing, it is beyond dispute that the Tribal Officers are immune from Plaintiff's claims in this case.

### F. Plaintiff's assertion that it may proceed with its claims against the Individual Defendants under the Ex Parte Young doctrine is incorrect.

Plaintiff will incorrectly argue that even if the Viejas Band is immune, that Plaintiff can still seek injunctive relief against the Tribal Officers because, so the argument goes, immunity does not bar suit for prospective relief against tribal officials. Citing, *Burlington & Santa Fe RY, Co. v. Vaughn*, 509 F.3d 1085, 1092 (9<sup>th</sup> Cir. 2007); *Rogers-Dial v. Rincon Band of Luiseno Indians*, No. 10CV2656-WQH-POR, 2011 WL 2619232 (S.D. Cal. July 1, 2011); and *Bassett v. Masshantucket Pequot Museum & Research Ctr.*, 221 F.Supp.2d 271 (D.Conn. 2002) (*Bassett II*). This argument should be rejected, as discussed below.

1039432.1

First, the *Bassett II* case does not support Plaintiff's position. There, the officers of the Mashantucket Pequot Museum & Research Center incorrectly admitted (for some unstated reason) that (1) prospective injunctive relief was available against them, (2) the copyright act substantively applied to the tribal agency at issue and (3) plaintiffs had a private right of action against the agency. See, *Bassett II*, 221 F.Supp.2d at 279 and n. 12. Thus, *Bassett II* cannot be cited as authority for the proposition that prospective injunctive relief is available against tribal officers for copyright infringement *because that issue was not litigated by the parties or decided by the court in that case*.

Second, the other cases cited by Plaintiff (*Vaughn* and *Rogers-Dial*) are

Second, the other cases cited by Plaintiff (*Vaughn* and *Rogers-Dial*) are clearly distinguishable from the instant case. "The language of an opinion must be construed with reference to the facts presented by the case; the positive authority of a decision is coextensive only with such facts." *Lolley v. Campbell*, 28 Cal. 4th 367, 377 (2002). In *Vaughn*, the tribal officers were incorrectly attempting to collect the Hualapai Tribe's possessory interest tax against the plaintiff railroad for use of the railroad's right-of-way through the reservation. And in *Rogers-Dial*, the tribal officers incorrectly placed concrete barriers in front of the plaintiff's residence to block them from accessing their property. No such facts exist in this case. Indeed, the Viejas Band's officers are not using their governmental authority to interfere with Plaintiff in any way. Rather, Plaintiff is incorrectly attempting to dictate to the Viejas Band how it conducts its business.

Finally, the great weight of authority discussed above clearly shows that the Band is immune from Plaintiff's copyright and trademark claims. As a result, the Tribal Officers must likewise be immune for those claims, especially given that Plaintiff admits that Tribal Officers were acting in their official capacity. To rule otherwise would put form above substance.

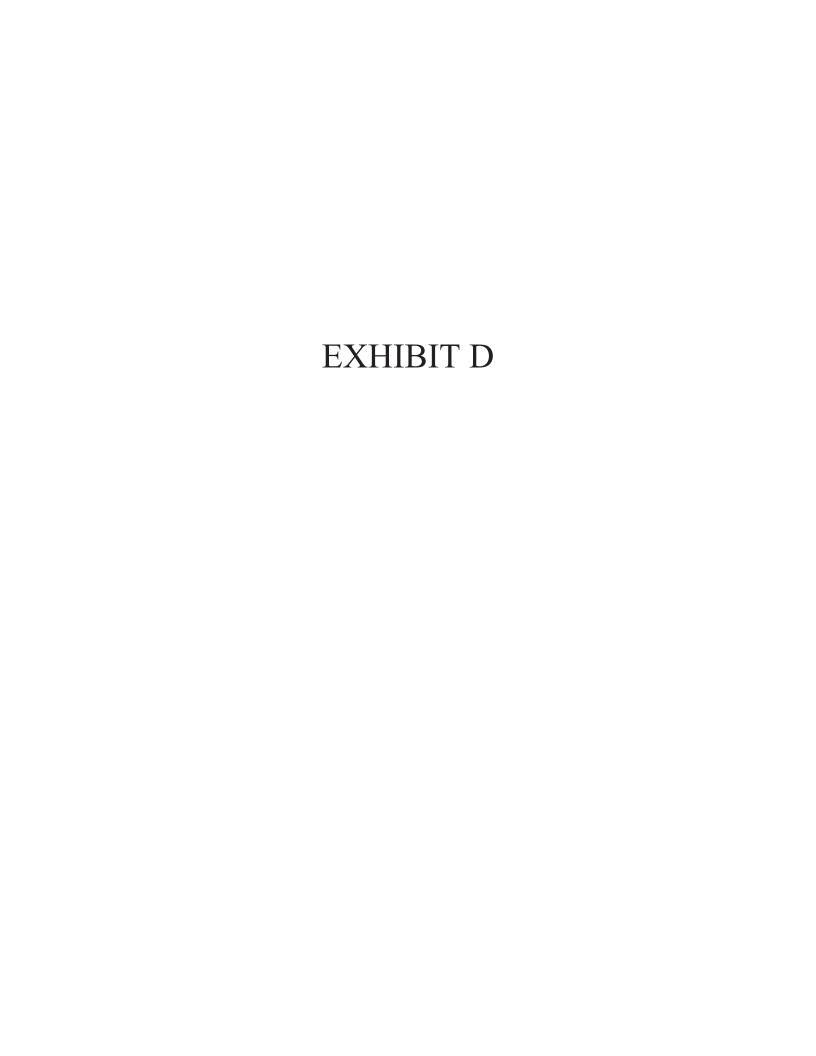
For the foregoing reasons, Plaintiff's reliance upon the *Ex Parte Young* doctrine is misplaced.

1039432.1

IV. **CONCLUSION** For the foregoing reasons, the Viejas Band respectfully requests that the court grant this motion and dismiss the claims against the Viejas Band, Viejas Enterprises, and the Tribal Officers. DATED: November 7, 2011 HIGGS, FLETCHER & MACK LLP By: /s/ Phillip Samouris
PHILLIP C. SAMOURIS Attorneys for Defendants 

HIGGS, FLETCHER & MACK LLP ATTORNEYS AT LAW SAN DIEGO

1039432.1

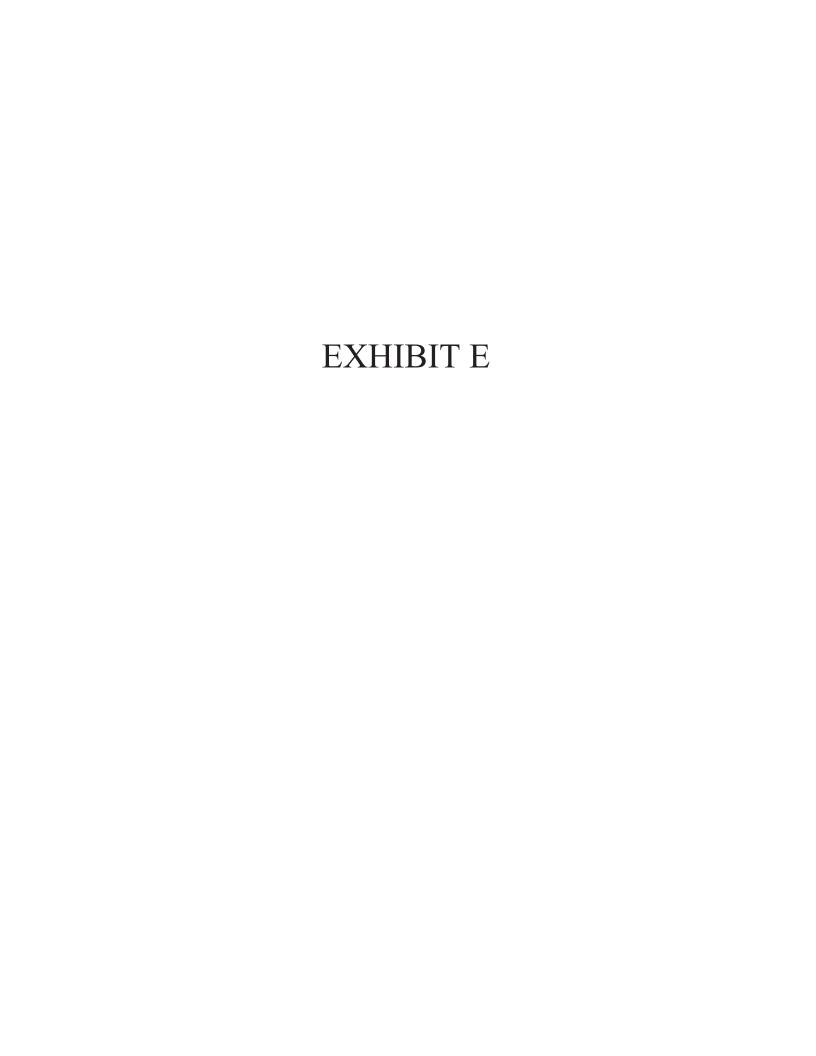


i	,		
1	PHILLIP C. SAMOURIS (CA SBN 163303) samouris@higgslaw.com CHARLES F. REIDELBACH, JR. (CA SBN 167482) reidelbach@higgslaw.com MICHAEL J. HOISINGTON (CA SBN 201679) mhoisington@higgslaw.com		
2			
3			
4	HIGGS, FLETCHER & MACK LLP 401 West "A" Street, Suite 2600		
5	San Diego, CA 92101-7913 TEL: 619.236.1551		
6	FAX: 619.696.1410	_	
7	Attorneys for Defendants		
8	UNITED STATES DISTRICT COURT		
9	SOUTHERN DISTRICT OF CALIFORNIA		
10	SAN PASQUAL CASINO	CASE NO. 11CV19	
11	DEVELOPMENT GROUP, INC., an enterprise fund of the San Pasqual Band of	DECLARATION	OF VINCE
12	Mission Indians, a Federally-Recognized Indian Tribe,	MANFREDI IN DEFENDANT'S	EX PARTE
13	Plaintiff,	APPLICATION STAYING OR C	CONTINUING THE
14	v.	HEARING ON I	PRELIMINARY
15	VIEJAS BAND OF KUMEYAAY	INJUNCTION P DETERMINATI	ON OF SUBJECT
16	INDIANS, a Federally-Recognized Indian Tribe d/b/a Viejas Casino, et. al.,	MATTER JURI	
17	Defendants.	CASE FILED: COURTROOM:	August 29, 2011 11 (2nd Floor) Hon, John A. Houston
18	T 77' - 3 5 - C - 1' - 1 - 1 - 1	JUDGE:	Hon. John A. Housion
19	I, Vince Manfredi, declare as follo		as Cosino. As of the date
20	1. I am the Vice President of I		
21	of this declaration, Viejas Casino is not running the "Pinch Yourself" print advertisements and the "Are You Ready To Play?" television commercial which are		
22	the subject of Plaintiff's complaint in this		
23	plan to restart those advertisements, alth	•	
24	I declare under penalty of perjury		
25	Executed this 3 day of November, 20		•
26	Executed this day of November, 20		1) Maneria
27		VINCE	MANFREDI
28			

HIGGS, FLETCHER & MACK LLP ATTORNEYS AT LAW SAN DIEGO

1039799.1

Case No. 11cv1983 JAH POR



HILLARY A. BROOKS (OSBA No. 012138) Marger Johnson & McCollom PC 210 SW Morrison St., Suite 400 2 Portland, Oregon 97204 (503) 222-3613 Tel: 3 Attorneys for Plaintiff 4 5 6 7 8 UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF CALIFORNIA 9 Civil Action No. '11 CV1983 JAH POR SAN PASQUAL CASINO DEVELOPMENT 10 GROUP INC., an enterprise fund of the San Pasqual Band of Mission Indians, a Federally-11 Recognized Indian Tribe, NOTICE OF VOLUNTARY DISMISSAL 12 PURSUANT TO FED. R. CIV. P. 41 Plaintiff, 13 VIEJAS BAND OF KUMEYAAY INDIANS, 14 a Federally-Recognized Indian Tribe d/b/a Viejas Casino; 15 VIEJAS ENTERPRISES, INC., a tribal 16 corporation of the Viejas Band of Kumeyaay Indians d/b/a Viejas Casino; 17 ANTHONY R. PICO, Chairman of the Viejas 18 Band of Kumeyaay Indians; 19 ROBERT CITA WELCH, ANITA 20 UQUALLA, SAM Q. BROWN, GREYBUCK S. ESPINOZA, VICTOR E. WOODS, and 21 RAYMOND BEAR CUERO, Officers of the Viejas Band of Kumeyaay Indians; 22 CHRIS KELLEY, General Manager, and 23 VINCE MANFREDI, Vice President of Marketing, Viejas Casino; 24 25 Defendants. Notice of Voluntary Dismissal - 1 MARGER JOHNSON & McCOLLOM PC

MARGER JOHNSON & McCOLLOM PC 210 SW Morrison Street, Suite 400 Portland, Oregon 97204 (503) 222-3613

#### Case 3:11-cv-01983-JAH-POR Document 22 Filed 12/14/11 Page 2 of 3

1	In view of the Manfredi Declaration (Dkt. #14-1) indicating cessation of use of the		
2	PINCH YOURSELF mark and the Viejas Commercial, Plaintiff San Pasqual Casino		
3	Development Group Inc. ("SPCDG") hereby dismisses this action, without prejudice, as to all		
4	Defendants pursuant to Fed. R. Civ. P. 41(a)(1)(A)(i). No Defendant has yet answered or filed a		
5	motion for summary judgment.		
6	Dated this 14 <sup>th</sup> day of December, 2011.		
7			
8	Respectfully,		
9	MARGER JOHNSON & MCCOLLOM PC		
10	By: /s/ Hillary A. Brooks Hillary A. Brooks, OSBA No. 012138		
11	210 SW Morrison St., Suite 400 Portland, Oregon 97204		
12	(503) 222-3613		
13	VISTA IP LAW GROUP LLP Neal M. Cohen, CSBA No. 184978		

2040 Main Street, Suite 710 Irvine, California 92614 Attorneys for Plaintiff SAN PASQUAL CASINO DEVELOPMENT GROUP INC.

14

15

16

17

18

19

20

21

22

23

24

#### **CERTIFICATE OF SERVICE**

I hereby certify that I served a copy of the foregoing **NOTICE OF VOLUNTARY DISMISSAL PURSUANT TO FED. R. CIV. P. 41** 

on:

Phillip C. Samouris HIGGS FLETCHER & MACK 401 West A Street, Suite 2600 San Diego, California 92101

by transmitting full, true, and correct copies thereof to the attorneys through the Court's CM/ECF system on the date set forth below;

Dated this 14<sup>th</sup> day of December, 2011.

Notice of Voluntary Dismissal - 3